

# UNBOUNDED SPECTRAL OPERATORS

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**1. Introduction.** Our purpose in the present paper is to study the structure and operational calculus of unbounded spectral operators. Bounded spectral operators have been introduced and studied by N. Dunford in [2] and [3], and the present paper is an investigation in the unbounded case of certain of the results of [3]. Interest in the abstract theory of unbounded spectral operators arises from important results of J. Schwartz [7], who has shown that the members of a large class of differential operators on a finite interval determine unbounded spectral operators in Hilbert space.

Let  $\mathfrak{B}$  denote the Borel subsets of the complex plane, and let  $\mathfrak{X}$  be a complex Banach space. We shall call a mapping  $E$  from  $\mathfrak{B}$  to projection operators in  $\mathfrak{X}$  a *resolution of the identity* if it is a homomorphism. That is,

$$\begin{aligned} E(e)E(f) &= E(ef), & E(e) \cup E(f) &= E(e \cup f), & e, f &\in \mathfrak{B} \\ E(e') &= I - E(e), & E(\phi) &= 0, & E(p) &= I, & e \in \mathfrak{B}; \end{aligned}$$

$E(e)$  is bounded,

$$|E(e)| \leq M, \quad e \in \mathfrak{B};$$

and<sup>1</sup> the vector-valued set function  $E(e)x$  is countably additive. Here  $\phi$  is the void set,  $p$  the plane, and  $e'$  the complement of  $e$  in  $p$ .

A closed operator  $T$  will be called a *spectral operator* if there is a resolution of the identity  $E$  such that:

(1) The domain  $D(T)$  of  $T$  contains the dense subspace  $\mathfrak{X}_0 = \{x \mid x = E(\sigma)x, \sigma \in \mathfrak{B}, \sigma \text{ bounded}\}$ .

(2) If  $\sigma \in \mathfrak{B}$ ,  $E(\sigma)D(T) \subset D(T)$  and  $E(\sigma)Tx = TE(\sigma)x$ ,  $x \in D(T)$ .

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<sup>1</sup>The last condition is somewhat more restrictive than in [3].

Received March 4, 1953. This paper was prepared under Office of Naval Research contract number onr 609(04). The author is grateful to Professor Dunford for suggesting this investigation.

*Pacific J. Math.* 4 (1954), 373-392