## ASYMPTOTIC PERTURBATION SERIES FOR CHARACTERISTIC VALUE PROBLEMS

## C. A. SWANSON

1. Introduction. Ordinary linear differential operators of the type

(1.1) 
$$L = p_n \frac{d^n}{dx^n} + p_{n-1} \frac{d^{n-1}}{dx^{n-1}} + \dots + p_0$$
  $(n \ge 2)$ 

will be under consideration on a half-open real interval (0, b] (b > 0), designated as the *basic interval*. The coefficients  $p_j = p_j(x)(j = 0, 1, \dots, n)$ are real-valued, continuous functions possessing j continuous derivatives on (0, b], and  $p_n(x) \neq 0$  on (0, b]. The point x = 0 is supposed to be a singularity for L.

The basic operator over the Hilbert space  $\mathscr{L}^2(0, b)$  will be obtained as a restriction of L to a domain consisting of functions which are sufficiently differentiable and which satisfy certain boundary conditions. When L coincides with its Lagrangian adjoint, conditions are known [1] under which an operator like this is self-adjoint over  $\mathscr{L}^2(0, b)$ . Our attention will not be focused on a self-adjoint operator, however, but on a basic operator which has at least one isolated point in its spectrum.

The investigation here concerns the spectrum of a perturbed operator. Let  $[\varepsilon, b]$  denote a closed subinterval of the basic interval, where  $\varepsilon$  is a small positive number. A perturbed operator  $A_{\varepsilon}$  is a restriction of L to a domain in  $\mathscr{L}^2(\varepsilon, b)$  consisting of functions which are suitably differentiable on  $[\varepsilon, b]$ , and which satisfy homogeneous boundary conditions at the endpoints  $x = \varepsilon$  and x = b. Then a set of perturbed operators is obtained when  $\varepsilon$  varies. It will be shown that for each characteristic value  $\Lambda$  of the basic operator, there is a characteristic value  $\lambda(\varepsilon)$  of the perturbed operator  $A_{\varepsilon}$  which converges to  $\Lambda$  as  $\varepsilon \to 0$ ; and furthermore that  $\lambda(\varepsilon)$  can be represented by an asymptotic expansion, valid as  $\varepsilon \to 0$ .

An asymptotic expansion for the characteristic function u corresponding to  $\lambda(\varepsilon)$  will also be established. In particular, the asymptotic form u(x) = U(x)[1 + o(1)] will be obtained, in terms of the characteristic function U of the basic operator corresponding to  $\Lambda$ , valid uniformly for x contained in a certain closed subset of  $[\varepsilon, b]$  as  $\varepsilon \to 0$ . Evidently such an asymptotic form cannot hold uniformly near the zeros of U, nor can it hold near the boundary  $x = \varepsilon$  since u is forced to satisfy a boundary condition at  $x = \varepsilon$ . The procedure used herein permits a representation for the characteristic functions to be obtained in the "boundary layer"

Received November 5, 1958,