

CANCELLING 1-HANDLES AND SOME TOPOLOGICAL IMBEDDINGS

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In this note we use the existence of a certain type of handle decomposition (see corollary) for compact simply connected P. L. 4-manifolds and R. Edwards results on the double suspension conjecture to prove:

THEOREM 2. *Let $\alpha \in H_2(M; Z)$ where M is a compact simply connected P. L. 4-manifold. Then there is a proper topological imbedding (possibly nonlocally flat) $\theta: S^2 \times R \rightarrow M \times R$ (mapping ends to ends) with $\theta_*[S^2 \times R] = \bar{\alpha} \in H_2(M \times R; Z)$. $\bar{\alpha}$ is the image of α under $\times R$. Proper, here, means inverse images of compact sets are compact.*

In [2], we considered the problem of constructing smooth proper imbeddings, θ , and showed that if α is characteristic (dual to $w_2(\tau(M))$), the only obstruction to the existence of θ is an Arf invariant which is equal to the Milnor-Kervaire number ($=(\text{signature}(M) - \alpha \cdot \alpha/8) \pmod{2}$) when M is closed and that if α is ordinary (not dual to $W_2(\tau(M))$) there is no obstruction. This suggests two problems: (1) Can θ always be arranged to be topologically locally flat, and (2) can θ always be arranged to be P. L.?

Here is our "handle cancellation" theorem:

THEOREM 1. *Let M be any compact connected P. L. manifold of dimension $= m$ (assume M orientable if $m = 3$). Let N be a compact connected codimension 0 submanifold of ∂M . If $\pi_1(M, N) = 0$, then there is a codimension 0 submanifold, \bar{N} , of M with: (1) $N \hookrightarrow \bar{N}$, (2) the inclusion $N \hookrightarrow \bar{N}$ is a homotopy equivalence, (3) $M = \bar{N} \cup 2\text{-handles} \cup 3\text{-handles} \cup \dots \cup m\text{-handles}$.*

Note. The P. L. category is convenient here since handle decompositions always exists.

Proof. If $n \geq 5$, the usual arguments for cancelling handles produce the desired $\bar{N} \xrightarrow{\text{P. L.}} N \times I$ (see Appendix [3]). We need only consider the cases $m = 3$ or 4.

Let $m = 4$ and let $\mathcal{H}(M, N)$ be a handle decomposition of M relative to N . We may assume $\mathcal{H}(M, N)$ has no zero-handles.

Let $\{h_i^1\} = \{D_i^1 \times D_i^{m-1}\}$ be the 1-handles. Let $\{c_i\}$ be closed curves