

A GENERALIZATION OF A CLASSICAL NECESSARY
 CONDITION FOR CONVERGENCE OF
 CONTINUED FRACTIONS¹

ROBERT HELLER AND F. A. ROACH

One of the most frequently cited necessary conditions for convergence of continued fractions is the divergence of a particular series. In this paper, we show that convergence of a continued fraction implies divergence of each member of an infinite collection of series.

We will be concerned with continued fractions which are of, or can be put into (cf. Wall [4], pp. 19-26), the form

$$(1) \quad b_0 + \frac{1}{b_1 + \frac{1}{b_2 + \frac{1}{b_3 + \dots}}}$$

If we let

$$(2) \quad \begin{aligned} A_0 &= b_0, & A_1 &= b_1 A_0 + 1, & B_0 &= 1, & B_1 &= b_1, \\ A_p &= b_p A_{p-1} + A_{p-2}, & \text{and} \\ B_p &= b_p B_{p-1} + B_{p-2}, & p &= 2, 3, 4, \dots, \end{aligned}$$

then the n th approximant of (1) is given by A_n/B_n . As is customary, we say that (1) converges provided that not infinitely many of the denominators B_p are zero and $\{A_p/B_p\}$ converges to a finite limit.

The principal result given in this paper is the following theorem.

THEOREM. *Suppose that u is a complex number, v is a complex number such that $-4 < uv \leq 0$, and $u = 0$ if $v = 0$. If both $\sum |b_{2p-1} - u|$ and $\sum |b_{2p} - v|$ converge, then (1) diverges.*

Considering the case where $u = v$, we immediately have the following result.

COROLLARY. *In order for (1) to converge, it is necessary that for each real number k between -2 and 2 , $\sum |b_p - ki|$ diverge.*

If $k = 0$, this becomes what is often referred to as von Koch's theorem. According to Perron [2] p. 235, it was first proved by Stern [3] in 1860; additional information concerning the numerators and denominators of the approximants was obtained by von Koch [1] in 1895 (cf. Wall [4], pp. 27-29).

¹ This paper is dedicated to the memory of Keith Heller.