

**ESTIMATES ON THE LOWEST DIMENSION OF  
INERTIAL MANIFOLDS FOR  
THE KURAMOTO-SIVASHINSKY EQUATION  
IN THE GENERAL CASE**

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Dedicated to the memory of Peter Hess

**Abstract.** We derive estimates on the lowest dimension in various Sobolev spaces of inertial manifolds for the Kuramoto-Sivashinsky equation:

$$\frac{\partial u}{\partial t} + \nu D^4 u + D^2 u + uDu = 0$$

for solutions which are periodic with period  $L$ . Contrary to earlier results in [3] and other works, there is no requirement on the antisymmetry of the initial data. Our results are: 1. the lowest dimension of inertial manifolds in the Sobolev space  $H^m$  is bounded by a universal constant times  $\tilde{L}^{0.82m+2.05}$ ; 2. the lowest dimension of inertial manifolds in  $L^2$  is bounded by a universal constant times  $\tilde{L}^{1.64} (\ln \tilde{L})^{0.2}$ , where  $\tilde{L} = \frac{L}{2\pi\sqrt{\nu}}$ .

**0. Introduction.** An inertial manifold  $M$ , which can be viewed as a global center, or center unstable manifold, is a smooth positively invariant finite dimensional manifold that attracts orbits of a dissipative dynamical system at an exponential rate. The present paper is mainly concerned with the lowest dimension of the inertial manifolds of the 1-D Kuramoto-Sivashinsky equation with *general periodic* (non-antisymmetric) boundary condition:

$$\begin{cases} \frac{\partial u}{\partial t} + \nu D^4 u + D^2 u + uDu = 0, & x \in \Omega, \\ D^j(-\frac{L}{2}, t) = D^j(\frac{L}{2}, t), & j = 0, 1, 2, 3; \quad t > 0, \\ \int_{\Omega} u(x, t) dx = 0, & t > 0, \end{cases} \quad \text{(KSE)}$$

where  $\nu > 0$  is given,  $L$  is the period and  $\Omega = ]-\frac{L}{2}, \frac{L}{2}[$ .

This equation has been extensively studied both for its importance as a model equation for pattern formation and combustion and for its non-trivial dynamics. For

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