

Lyapunov Exponents of the Schrödinger Equation with Quasi-Periodic Potential on a Strip

I. Ya. Goldsheid^{1, *} and E. Sorets²

¹ Fakultät für Mathematik, Ruhr-Universität-Bochum (FRG),
SFB-237 Bochum-Essen-Düsseldorf, FRG

² Institute for Advanced Study, Olden Lane, Princeton, NJ 08540, USA

Received June 10, 1991; in revised form September 25, 1991

Abstract. We prove that all the non-negative Lyapunov exponents of difference Schrödinger equation

$$-y_{n+1} + Q_n y_n - y_{n-1} = 0, \quad -\infty < n < +\infty$$

are strictly positive. Here $y_n \in \mathbb{R}^m$ and Q_n is a symmetric $m \times m$ matrix whose off-diagonal elements do not depend on n , and the diagonal elements are quasi-periodic functions

$$q_{nj}(\theta) = \lambda f_j(e^{2\pi i(\theta + n\alpha)}) - E$$

with all f_i non-constant analytic functions, λ sufficiently large, and α any irrational number.

1. Introduction and Formulation of Results

In this paper we shall study the Lyapunov exponents of the difference equation:

$$-y_{n+1} + Q_n y_n - y_{n-1} = 0, \quad -\infty < n < +\infty, \quad (1)$$

where $y_n \in \mathbb{R}^m$ and Q_n is a symmetric $m \times m$ matrix whose off-diagonal elements do not depend on n , and the diagonal elements are quasi-periodic functions

$$q_{nj}(\theta) = \lambda f_j(e^{2\pi i(\theta + n\alpha)}) - E$$

with $f_j(z)$ non-constant analytic on $\mathcal{A} \equiv \{z \mid r < |z| < 1/r\}$, taking values in $[-1, 1]$ for $|z| = 1$, λ is a (large) parameter called coupling constant, E is the energy, and α is any irrational number. Without loss of generality we shall assume that

$$\max_{1 \leq i \leq m} \sup_{|z|=1} f_i(z) = 1 \quad \text{and} \quad \min_{1 \leq i \leq m} \inf_{|z|=1} f_i(z) = -1.$$

* On leave from Math. Institute, Academy of Sciences USSR, 450057 Ufa, USSR