

Cantor Spectrum and Singular Continuity for a Hierarchical Hamiltonian*

Hervé Kunz¹, Roberto Livi^{1, **}, and András Sütő²

¹ Institut de Physique Théorique, Ecole Polytechnique Fédérale de Lausanne,
CH-1015 Ecublens, Switzerland

² Institut de Physique Théorique, Université de Lausanne, BSP, CH-1015 Lausanne, Switzerland

Abstract. We study the spectrum of the Hamiltonian H on $l_2(\mathbb{Z})$ given by $(H\psi)(n) = \psi(n+1) + \psi(n-1) + V(n)\psi(n)$ with the hierarchical (ultrametric) potential $V(2^m(2l+1)) = \lambda(1-R^m)/(1-R)$, corresponding to 1-, 2-, and 3-dimensional Coulomb potentials for $0 < R < 1$, $R=1$ and $R > 1$, respectively, in a suitably chosen valuation metric. We prove that the spectrum is a Cantor set and gaps open at the eigenvalues $e_n(1) < e_n(2) < \dots < e_n(2^n - 1)$ of the Dirichlet problem $H\psi = E\psi$, $\psi(0) = \psi(2^n) = 0$, $n \geq 1$. In the gap opening at $e_n(k)$ the integrated density of states takes on the value $k/2^n$. The spectrum is purely singular continuous for $R \geq 1$ when the potential is unbounded, and the Lyapunov exponent γ vanishes in the spectrum. The spectrum is purely continuous for $R < 1$ in $\sigma(H) \cap [-2, 2]$ and $\gamma = 0$ here, but one cannot exclude the presence of eigenvalues near the border of the spectrum. We also propose an explicit formula for the Green's function.

Table of Contents

1. Introduction	644
2. The Potential: Ultrametric Properties and Limit Periodicity	646
3. Renormalization Group Transformation. The Trace Equation	650
4. Asymptotic Behaviour of the Sequence of Traces	654
5. Locating the Spectrum	658
6. Cantor Spectrum, IDS and Gap Labelling	665
7. The Lyapunov Exponent	672
8. Asymptotic Behaviour of the Gap-Edges States	674
9. An Explicit Formula for the Green's Function	675

* Work supported by the Fonds National Suisse de la Recherche Scientifique, Grant No. 2.042-0.86 (H.K. and R.L.) and 2.483-0.87 (A.S.)

** On leave from the Dipartimento di Fisica, Università degli Studi di Firenze, Largo E. Fermi 2, I-50125 Firenze, Italy