

On the Schrödinger Equation and the Eigenvalue Problem

Peter Li^{1,*} and Shing-Tung Yau²

1 Department of Mathematics, Stanford University, Stanford, CA 94305, USA

2 School of Mathematics, Institute for Advanced Study, Princeton, NJ 08540, USA

Abstract. If λ_k is the k^{th} eigenvalue for the Dirichlet boundary problem on a bounded domain in \mathbb{R}^n , H. Weyl's asymptotic formula asserts that $\lambda_k \sim C_n \left(\frac{k}{V(D)}\right)^{2/n}$, hence $\sum_{i=1}^k \lambda_i \sim \frac{nC_n}{n+2} k^{\frac{n+2}{n}} V(D)^{-2/n}$. We prove that for any domain and for all k , $\sum_{i=1}^k \lambda_i \geq \frac{nC_n}{n+2} k^{\frac{n+2}{n}} V(D)^{-2/n}$. A simple proof for the upper bound of the number of eigenvalues less than or equal to $-\alpha$ for the operator $\Delta - V(x)$ defined on \mathbb{R}^n ($n \geq 3$) in terms of $\int_{\mathbb{R}^n} (V + \alpha)_-^{n/2} dx$ is also provided.

0. Introduction

In this paper, we study the eigenvalue problem with or without potential. We mainly concern ourself with bounded domains in \mathbb{R}^n for the case of the Laplace operator. If D is a bounded domain in \mathbb{R}^n we consider the eigenvalue problem

$$\begin{aligned} \Delta \phi &= -\lambda \phi, \quad \text{on } D \\ \phi|_{\partial D} &\equiv 0. \end{aligned} \tag{*}$$

The discreteness of the spectrum of Δ allows one to order the eigenvalues $(0 <) \lambda_1 < \lambda_2 \leq \dots \leq \lambda_k \leq \dots$, monotonically.

In the case of the Schrödinger equation, we consider potentials whose negative part are in $L^{n/2}(\mathbb{R}^n)$. If $V(x)$ is a potential function defined on \mathbb{R}^n for $n \geq 3$ and suppose $\int_{\mathbb{R}^n} V_-(x) dx$ is finite (see Sect. 2 for definition), it is then well known that the operator $\Delta - V(x)$ has discrete spectrum on the negative real line, i.e., the number of non-positive eigenvalues $N(0)$ for the problem

$$(\Delta - V(x)) \phi(x) = -\mu \phi(x), \quad \text{on } \mathbb{R}^n \tag{**}$$

is finite.

* Research partially supported by a Sloan Fellowship and NSF Grant No. 81-07911-A1