

# THE VIRIAL THEOREM AND ITS APPLICATION TO THE SPECTRAL THEORY OF SCHRÖDINGER OPERATORS

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Communicated by Felix Browder, January 4, 1967

**1. Introduction.** Let us consider elliptic differential operators of the form

$$H = -\Delta + q(x), \quad x \in \mathbb{R}^m,$$

where the potential  $q(x)$  satisfies the following conditions:

(I)  $q \in \mathcal{Q}_\alpha(\mathbb{R}^m)$  for some  $\alpha > 0$ ; i.e.

$$M_q(x) = \int_{|x-y| \leq 1} |q(y)| |x-y|^{4-m-\alpha} dy$$

is uniformly bounded for  $x \in \mathbb{R}^m$ .

(II) For every  $x \in \mathbb{R}^m$ ,  $x \neq 0$ , there exists a radial derivative  $q_r(x)$  of  $q(x)$  and

$$\epsilon^{-1} |q((1+\epsilon)x) - q(x)| \leq q_0(x) \in \mathcal{Q}_\beta(\mathbb{R}^m)$$

holds for  $0 < \epsilon < \epsilon_0$  and some  $\beta > 0$ ; in particular we have  $rq_r(x) \leq q_0(x)$ ; hence  $rq_r \in \mathcal{Q}_\beta(\mathbb{R}^m)$ .

Under these conditions we shall prove in §2 a very general form of the Virial Theorem of quantum mechanics. In §§3 and 4 this theorem will be used to deduce some results on the spectrum of  $H$ .

Let  $L_2(\mathbb{R}^m)$  be the Hilbert space of functions which are square-summable over  $\mathbb{R}^m$ ; the inner product in this space will be denoted by  $\langle \cdot, \cdot \rangle$ , the norm by  $|\cdot|$ .

From condition (I) one can conclude (e.g. Ikebe-Kato [2]):

(1) The operator  $H$  with domain  $D(H) = H_2(\mathbb{R}^m)$  is selfadjoint in  $L_2(\mathbb{R}^m)$  ( $H_2(\mathbb{R}^m)$  is the closure of  $C_0^\infty(\mathbb{R}^m)$  with respect to the norm  $|u|_2 = \{ \sum_{j,k} |\partial^2 u / (\partial x_j \partial x_k)|^2 + \sum_j |\partial u / \partial x_j|^2 + |u|^2 \}^{1/2}$ ).

(2) For  $u \in D(H)$  and  $q \in \mathcal{Q}_\alpha(\mathbb{R}^m)$  we have  $qu \in L_2(\mathbb{R}^m)$ .

(3) For  $u, v \in D(H)$  we have  $\Delta u, \Delta v \in L_2(\mathbb{R}^m)$  and  $\langle \Delta u, v \rangle = \langle u, \Delta v \rangle$ .

## 2. The Virial Theorem.

**THEOREM.** *Let conditions (I) and (II) be satisfied. If  $\lambda$  is an eigenvalue of  $H$ ,  $u(x)$  a corresponding eigenfunction, then*

$$\langle (2q + rq_r - 2\lambda)u, u \rangle = 0, \quad 2\langle -\Delta u, u \rangle = \langle rq_r u, u \rangle.$$