

CLASSIFICATION OF OPERATORS BY MEANS OF THE OPERATIONAL CALCULUS¹

BY SHMUEL KANTOROVITZ

Communicated by Felix Browder, November 27, 1963

1. **Introduction.** Let $\mathbf{A} = \mathbf{A}(\Delta)$ be a topological algebra of complex valued functions defined on a subset Δ of the complex plane, with the usual operations. Suppose that \mathbf{A} contains the restrictions to Δ of polynomials. Let $B(X)$ be the Banach algebra of all bounded linear operators on the Banach space X into itself. We say that an operator T is of class \mathbf{A} (notation: $T \in (\mathbf{A})$) if there exists a continuous representation $f \rightarrow T(f)$ of \mathbf{A} into $B(X)$ such that $T(1) = I$ and $T(z) = T$. Such a representation is called an *\mathbf{A} -operational calculus* for T . A class (\mathbf{A}) may be as wide as $B(X)$ (if \mathbf{A} consists of all entire functions with the topology of uniform convergence on every compact), or as narrow as the class of hermitian operators with spectrum in a given compact Δ (if $\mathbf{A} = C(\Delta)$, $T(\cdot)$ is norm decreasing, and X is a Hilbert space). Related approaches are found in [3; 5].

2. **Restrictions on \mathbf{A} .** Let $H(\Delta)$ denote the algebra of all complex valued functions which are locally holomorphic in a neighborhood of Δ , with the usual topology.

CONDITION 1. If $f \in H(\Omega)$ for a compact $\Omega \neq \emptyset$, then there exists $f_0 \in \mathbf{A}(\Delta)$ such that $f_0 = f$ on $\Delta \cap \Omega_0$, for some neighborhood Ω_0 of Ω .

This condition excludes in particular the noninteresting case $\mathbf{A}(\Delta) = H(\Delta)$. We shall consider here only $\Delta = \mathbf{R}$ (the real line) or $\Delta = \mathbf{C}$ (the complex plane), and assume that $\mathbf{A}_0 = \{f \in \mathbf{A} \mid f \text{ has compact support}\}$ is dense in \mathbf{A} .

Fix $f \in \mathbf{A}_0$. If $g \in H(\text{Spt } f)$, Condition 1 implies the existence of $g_0 \in \mathbf{A}$ such that $g_0 = g$ on $\text{Spt } f$. The map $M_f: H(\text{Spt } f) \rightarrow \mathbf{A}$ given by $M_f g = fg_0$ is well defined.

CONDITION 2. The map $M_f: H(\text{Spt } f) \rightarrow \mathbf{A}$ is continuous, for each $f \in \mathbf{A}_0$. A topological algebra \mathbf{A} as in §1 which satisfies also Conditions 1 and 2 is called a *basic algebra* (compare [5]). Example: C^n for $0 \leq n \leq \infty$.

3. Restrictions on $T(\cdot)$.

CONDITION 3. $T(\cdot)$ has compact support (denoted by Σ). If $g \in H(\Sigma)$ and $g_0 \in \mathbf{A}$ is such that $g_0 = g$ in a neighborhood of Σ (cf. Condition 1),

¹ Research partly supported by NSF Grant No. NSF-GP780.