

## A NONVANISHING THEOREM FOR DERIVATIVES OF AUTOMORPHIC $L$ -FUNCTIONS WITH APPLICATIONS TO ELLIPTIC CURVES

DANIEL BUMP, SOLOMON FRIEDBERG AND JEFFREY HOFFSTEIN

**1. A brief history of nonvanishing theorems.** The nonvanishing of a Dirichlet series  $\sum a(n)n^{-s}$ , or the existence of a pole, at a particular value of  $s$  often has applications to arithmetic. Euler gave the first example of this, showing that the infinitude of the primes follows from the pole of  $\zeta(s)$  at  $s = 1$ . A deep refinement was given by Dirichlet, whose theorem on primes in an arithmetic progression depends in a fundamental way upon the nonvanishing of Dirichlet  $L$ -functions at  $s = 1$ .

Among the many examples of arithmetically significant nonvanishing results in this century, one of the most important is still mostly conjectural. Let  $E$  be an elliptic curve defined over  $\mathbf{Q}$ : the set of all solutions to an equation  $y^2 = x^3 - ax - b$  where  $a, b$  are rational numbers with  $4a^3 - 27b^2 \neq 0$ . Mordell showed that  $E(\mathbf{Q})$  may be given the structure of a finitely generated abelian group. The *Birch-Swinnerton-Dyer Conjecture* asserts that the rank of this group is equal to the order of vanishing of a certain Dirichlet series  $L(s, E)$  as  $s = 1$ —the center of the critical strip—and that the leading Taylor coefficient of this  $L$ -function at  $s = 1$  is determined in an explicit way by the arithmetic of the elliptic curve. We refer to the excellent survey article of Goldfeld [5] for details.

In 1977, Coates and Wiles [3] proved the first result towards the Birch-Swinnerton-Dyer conjecture. The conjecture implies that if the  $L$ -series of  $E$  does not vanish at 1, then the group of rational points is finite. Coates and Wiles proved this last claim in the special case that  $E$  has complex multiplication (nontrivial endomorphisms). In this note, we announce a nonvanishing theorem which, together with work of Kolyvagin and Gross-Zagier, implies that  $E(\mathbf{Q})$  is finite when  $L(1, E) \neq 0$  for *any* modular elliptic curve  $E$ . (A modular elliptic curve is one which may be parametrized by automorphic functions. Deuring proved that all elliptic curves with complex multiplication are modular; Taniyama and Weil have conjectured that indeed all elliptic curves defined over  $\mathbf{Q}$  are modular.)

Before giving details of our theorem, we mention several other nonvanishing theorems and arithmetic applications. The following discussion is necessarily not a complete survey

Shimura showed that there is a correspondence between modular forms  $f$  of even weight  $k$  and modular forms  $\hat{f}$  of half-integral weight  $(k + 1)/2$ .

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