

SYMMETRIC DECREASING REARRANGEMENT CAN BE DISCONTINUOUS

FREDERICK J. ALMGREN, JR. AND ELLIOTT H. LIEB

Suppose $f(x^1, x^2) \geq 0$ is a continuously differentiable function supported in the unit disk in the plane. Its symmetric decreasing rearrangement is the rotationally invariant function $f^*(x^1, x^2)$ whose level sets are circles enclosing the same area as the level sets of f . Such rearrangement preserves L^p norms but decreases convex gradient integrals, e.g. $\|\nabla f^*\|_p \leq \|\nabla f\|_p$ ($1 \leq p < \infty$). Now suppose that $f_j(x^1, x^2) \geq 0$ ($j = 1, 2, 3, \dots$) is a sequence of infinitely differentiable functions also supported in the unit disk which converge uniformly together with first derivatives to f . The symmetrized functions also converge uniformly. The real question is about convergence of the derivatives of the symmetrized functions. We announce that *the derivatives of the symmetrized functions need not converge strongly*, e.g. it can happen that $\|\nabla f_j^* - \nabla f^*\|_p \not\rightarrow 0$ for every p . We further characterize exactly those f 's for which convergence is assured and for which it can fail.

The rearrangement map $\mathcal{R}: f \rightarrow f^*$ in general dimensions also decreases gradient norms. For this reason alone, rearrangement has long been a basic tool in the calculus of variations and in the theory of those PDE's that arise as Euler-Lagrange equations of variational problems; it permits one to concentrate attention on radial, monotone functions and thereby reduces many problems to simple one dimensional ones. Some examples are (i) the lowest eigenfunction of the Laplacian in a ball is symmetric decreasing; (ii) the body with smallest capacity for a given volume is a ball [PS]; (iii) the optimal functions for the Sobolev and Hardy-Littlewood-Sobolev inequalities are symmetric decreasing and can be explicitly calculated [LE]. Other examples are given in [KB].

Obviously \mathcal{R} is highly nonlocal, nonlinear, and nonintuitive, but the property of decreasing gradient norms would lead one to surmise that \mathcal{R} is a smoothing operator in some sense. Thus when W. Ni and L. Nirenberg asked, some years ago, whether \mathcal{R} is continuous in the $W^{1,p}$ topology the answer appeared to be that it should be so (it is easy to prove that \mathcal{R} is always a contraction in L^p). Indeed, by an elegant analysis Coron [CJ] proved this in \mathbf{R}^1 . An affirmative answer to this question would have meant that the mountain-pass lemma could be used to establish spherically symmetric solutions of certain PDE's, and Coron's result led to just such an application [RS]. Our result is that \mathcal{R} is not continuous in $W^{1,p}(\mathbf{R}^n)$ for $n \geq 2$ and it is surprising, to us at least. Since almost all applications

Received by the editors October 17, 1988 and, in revised form, November 29, 1988.

1980 *Mathematics Subject Classification* (1985 Revision). Primary 46E35; Secondary 26B99, 47B38.