

## SOME INVARIANTS OF GENERIC IMMERSIONS AND THEIR GEOMETRIC APPLICATIONS

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1. This is an announcement of some theorems to appear in full detail elsewhere [2].

Let  $X, Y$  be smooth manifolds, with  $\dim X < \dim Y$  and  $f: X \rightarrow Y$  a *generic* immersion. To  $f$  we will attach two numerical invariants  $\mu_2(f)$  and  $\nu_3(f)$ , which will be described in the next paragraphs; it is conceivable that a more cohomological, characteristic-classes-type approach to these invariants should be possible. Anyway, granted their definition one has the following results:

**THEOREM.** *Let  $f: X \rightarrow Y$  be a generic immersion as above. The necessary and sufficient condition for the existence of a smooth embedding  $X \xrightarrow{F} Y \times R$  lifting  $f$  is that  $\mu_2(f) = \nu_3(f) = 0$ .  $\square$*

$F$  "lifts  $f$ " means that the following diagram is commutative:

$$\begin{array}{ccc}
 & & Y \times R \\
 & \nearrow F & \downarrow \\
 X & \xrightarrow{f} & Y
 \end{array}$$

**COROLLARY 1.** *Suppose that  $\pi_1 X = 0$ . The necessary and sufficient condition for the existence of a smooth embedding  $X \xrightarrow{G} Y \times S_1$  lifting  $f$  is that  $\mu_2(f) = \nu_3(f) = 0$ .  $\square$*

The next corollary has some connection with the group  $\Theta_3$  of Milnor and Kervaire [1]. We consider a smooth homotopy 3-sphere  $\Sigma_3$  and two points  $p_0, p_1 \in \Sigma_3$  ( $p_0 \neq p_1$ ). We consider two small 2-spheres, in  $\Sigma_3$ , of centers  $p_0$  and  $p_1: S_2^0, S_2^1$ . By the Smale-Hirsch immersion theory there is a (generic) regular homotopy:

$$f \in \text{Imm}_1(S_2 \times I, (\Sigma_3 - \{p_0, p_1\}) \times I)$$

connecting  $S_2^0, S_2^1$ . (The subscript  $I$  means that  $f$  is level-preserving.)

**COROLLARY 2.** *Let  $\Sigma_3$  be a smooth homotopy 3-sphere, and  $f$  some gener-*