

## THE FINITENESS OF $I$ WHEN $R[X]/I$ IS FLAT

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Let  $R$  be a commutative ring with identity, let  $X$  be a single indeterminate, and let  $I$  be an ideal of  $R[X]$ . Denote by  $\min I$  the set  $\{f \neq 0 \in I \mid \deg f \leq \deg g \text{ for all } g \neq 0 \in I\}$ . Let  $c(I)$  denote the ideal of  $R$  generated by the coefficients of the elements of  $I$ . We use  $\bar{R}$  for the integral closure of  $R$  (in its total quotient ring) and  $J(R)$  for the intersection of the maximal ideals of  $R$ . By a regular element, we mean a nonzero-divisor. An  $R$ -module  $M$  is called torsion-free if  $rm = 0$ ,  $r \in R$ ,  $m \neq 0 \in M$ , implies  $r$  is a zero-divisor of  $R$ .

**1. Main results.** (Proofs and details will appear elsewhere.) We assume throughout this section that  $\min I$  contains a regular element of  $R[X]$ .

**1.1 THEOREM.** *If  $R[X]/I$  is a flat  $R$ -module, then  $I$  is a finitely generated ideal of  $R[X]$ .*

The proof proceeds as follows. First prove the theorem in the case that  $R$  is quasi-local integrally closed with infinite residue field. Then remove the infinite residue field assumption by adjoining an indeterminate. Next remove the quasi-local assumption, and finally remove the assumption that  $R$  be integrally closed.

If  $R$  is integrally closed, the generators of  $I$  in 1.1 can be chosen from  $\min I$ . In proving 1.1, we obtain the following more precise result in the case that  $R$  is quasi-local integrally closed.

**1.2 THEOREM.** *If  $R$  is quasi-local integrally closed, then the following are equivalent:*

- (i)  $I$  is principal and  $c(I) = R$ .
- (ii)  $R[X]/I$  is  $R$ -flat.
- (iii)  $R[X]/I$  is  $R$ -torsion-free and  $c(I) = R$ .

Actually, (i)  $\Rightarrow$  (ii)  $\Rightarrow$  (iii) is valid for arbitrary  $R$ , while only (iii)  $\Rightarrow$  (i) requires that  $R$  be quasi-local integrally closed. ((ii)  $\Rightarrow$  (i) can also be proved for slightly more general  $R$ , namely if  $R$  is the integral closure

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