

## ON THE EXISTENCE OF EXCEPTIONAL FIELD EXTENSIONS

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Let  $F$  be a field of characteristic  $p \neq 0$  and let  $K$  be an algebraic field extension of  $F$ . Let  $K_i$  denote the subfield of  $K$  of elements purely inseparable over  $F$ ,  $K_s$  the subfield of separable elements, and  $K^n$  the normal closure of  $K/F$ . We say that  $K/F$  splits if  $K = K_i K_s$ , and following Reid's terminology in [2],  $K$  is called an *exceptional* extension of  $F$  provided  $K_i = F$  and  $K_s \neq K$ .

LEMMA 1.  $K/F$  splits if and only if  $K_i = (K^n)_i$ .

PROOF. If  $K/F$  splits it follows easily that  $K_i = (K^n)_i$ . Conversely assume that  $K_i = (K^n)_i$ . Then  $K^n/K$  is separable normal and hence a Galois extension. Since a normal extension splits we have  $K^n = (K^n)_i (K^n)_s$  and if  $a \in K$ ,  $a = \sum a_\alpha e_\alpha$  with  $a_\alpha \in (K^n)_s$  and  $\{e_\alpha\}$  a linearly independent set of elements of  $(K^n)_i = K_i$  over  $F$ . If  $\sigma$  is an automorphism of  $K^n/K$  then  $\sigma(a) = a$  implies that  $\sum (\sigma(a_\alpha) - a_\alpha) e_\alpha = 0$ . But  $K_i$  and  $(K^n)_s$  are linearly disjoint over  $F$  so that  $\{e_\alpha\}$  is linearly independent over  $(K^n)_s$ . Hence  $\sigma(a_\alpha) = a_\alpha$  and we have  $a_\alpha \in K \cap (K^n)_s = K_s$ . Thus  $K = K_s K_i$ .

THEOREM 2. If  $K/F$  is a simple extension then  $K/F$  splits if and only if  $K^n/F$  is simple.

PROOF. If  $K/F$  splits then by Lemma 1,  $K_i = (K^n)_i$  and it is clear that  $K^n/F$  is also simple.

If  $K^n/F$  is simple then  $K/F$  and  $(K^n)_i/F$  are simple. Let  $f(X)$  be the minimum polynomial of  $t$  over  $F$ , where  $t$  is chosen such that  $K = F(t)$ . Then  $K^n$  is the splitting field of  $f(X)$  and we have

- (a)  $\exp f(X) = \exp(K^n)_i$ ,
- (b)  $p^{\exp f(X)} = [K : K_s]$ .

Since  $(K^n)_i/F$  is simple it follows that  $p^{\exp(K^n)_i} = [(K^n)_i : F]$  [3, pp. 120–123]. Hence  $[K : K_s] = [(K^n)_i K_s : K_s]$  and since  $K \subseteq (K^n)_i K_s$  we have  $(K^n)_i K_s = K$  and  $(K^n)_i = K_i$ . By Lemma 1,  $K/F$  splits.

Our next lemma gives a method for constructing exceptional field extensions.

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