

## THE NUMBER OF RATIONAL CURVES ON K3 SURFACES\*

BAOSEN WU<sup>†</sup>

**Abstract.** Let  $X$  be a  $K3$  surface with a primitive ample divisor  $H$ , and let  $\beta = 2[H] \in H_2(X, \mathbf{Z})$ . We calculate the Gromov-Witten type invariants  $n_\beta$  by virtue of Euler numbers of some moduli spaces of stable sheaves. Eventually, it verifies Yau-Zaslow formula in the non primitive class  $\beta$ .

**Key words.** Rational curve,  $K3$  surface, stable sheaf, Euler number

**AMS subject classifications.** 14N35, 14D20

**Introduction.** Let  $X$  be a  $K3$  surface with an ample divisor  $H$ , and let  $C \in |H|$  be a reduced curve. By adjunction formula, the arithmetic genus of  $C$  is  $g = \frac{1}{2}H^2 + 1$ . Under the assumption that the homology class  $[H] \in H_2(X, \mathbf{Z})$  is primitive, Yau and Zaslow [18] showed that the number of rational curves in the linear system  $|H|$  is equal to the coefficient of  $q^g$  in the series

$$\begin{aligned} \frac{q}{\Delta(q)} &= \prod_{k>0} \frac{1}{(1 - q^k)^{24}} = \sum_{d \geq 0} G_d q^d \\ &= 1 + 24q + 324q^2 + 3200q^3 + 25650q^4 + 176256q^5 + \dots \end{aligned}$$

Here a multiplicity  $e(\bar{J}C)$  is assigned to each rational curve  $C$  in the counting([1]).

In [5], Fantechi, Göttsche and van Straten gave an interpretation of the multiplicity  $e(\bar{J}C)$ . Let  $M_{0,0}(X, [H])$  be the moduli space of genus zero stable maps  $f : \mathbf{P}^1 \rightarrow X$  with  $f_*([\mathbf{P}^1]) = [H] \in H_2(X, \mathbf{Z})$ .  $M_{0,0}(X, [H])$  is a zero dimensional scheme which is in general nonreduced. Let  $\iota : C \hookrightarrow X$  be a rational curve in the class  $[H]$ , and  $n : \mathbf{P}^1 \rightarrow C$  its normalization. Then  $f = \iota \circ n : \mathbf{P}^1 \rightarrow X$  is a closed point of  $M_{0,0}(X, [H])$  and  $e(\bar{J}C)$  is equal to the multiplicity of  $M_{0,0}(X, [H])$  at  $f$ .

There is another formulation and generalization of Yau and Zaslow's formula by virtue of Gromov-Witten invariants. For  $K3$  surfaces, the usual genus 0 Gromov-Witten invariants vanish. To remedy this, one can use the notion of twistor family developed by Bryan and Leung in [2] provided that  $\beta$  is a primitive class. In general, there is an algebraic geometric approach proposed by Jun Li [11] using virtual moduli cycles. Roughly speaking, he defines Gromov-Witten type invariants  $N_g(\beta)$  on  $K3$  surfaces by modifying the usual tangent-obstruction complex. When  $\beta$  is primitive, these invariants coincide with those defined by twistor family. Geometrically,  $N_g(\beta)$  can be thought as Gromov-Witten invariants of a one dimensional family of  $K3$  surfaces, which actually count curves in the original surface. For the rigorous definitions, see [2],[11].

Bryan and Leung [2] proved a formula for  $N_g(\beta)$  when  $\beta$  is primitive. Let  $n_\beta = N_0(\beta)$ . Then  $n_\beta = G_d$  with  $d = \frac{1}{2}\beta^2 + 1$ . It recovers the formula of Yau and Zaslow. For a non primitive class  $\beta$ , the numbers  $N_g(\beta)$  are still unknown. However, there is a conjectural formula for  $N_0(\beta)$ ([11]). Using the notation  $n_\beta$ , it says

$$n_\beta = \sum_k \frac{1}{k^3} G_{\frac{1}{2}(\frac{\beta}{k})^2 + 1}$$

---

\*Received February 14, 2006; accepted for publication October 19, 2006.

<sup>†</sup>Department of Mathematics, Stanford University, Stanford, CA 94305, USA (bwu@math.stanford.edu).