DEFORMING METRICS IN THE DIRECTION OF THEIR RICCI TENSORS

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(An appendix to a paper of R. Hamilton)

1. Introduction. In [2], R. Hamilton has proved that if a compact manifold M of dimension three admits a C^{∞} Riemannian metric g_0 with positive Ricci curvature, then it also admits a metric \overline{g} with constant (positive) sectional curvature, and is thus (a quotient of) the sphere S^3 . In fact, he shows that the original metric can be deformed into the constant-curvature metric by requiring that, for $t \ge 0$, $x \in M$ and g = g(t, x),

(1)
$$\frac{\partial g}{\partial t} = \frac{2}{3}r_tg - 2\operatorname{Ric}(g), \qquad g(0, x) = g_0(x),$$

where $\operatorname{Ric}(g)$ is the Ricci curvature of g on M at time t, and r_t is the average scalar curvature of the metric $g_t = g(t, x)$ over M, i.e.,

$$r_t = \frac{1}{\operatorname{Vol}_{g_t}(M)} \int_M \operatorname{Scal}(g_t) \, dV_{g_t}.$$

Hamilton's proof has two parts. In the first part, he proves local-in-time existence for the initial-value problem (IVP) (1), which is equivalent to proving local existence for the IVP

(2)
$$\frac{\partial g}{\partial t} = -2\operatorname{Ric}(g), \quad g(0, x) = g_0(x)$$

(see [2, §3]). This part of the proof is valid for all dimensions $n \ge 3$. In the second part, which is specific to three dimensions, he proves that, as t approaches ∞ , g(t, x) approaches $\overline{g}(x)$ and that the Ricci curvature of g remains positive throughout the deformation.

To do the first (local) part of the proof, Hamilton uses a deep and powerful theorem from analysis: the Nash-Moser implicit-function theorem. (Some

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