

MINIMAL SETS OF FAMILIES OF VECTOR FIELDS ON COMPACT SURFACES

JORGE HOUNIE

1. Introduction

Let M be a compact connected smooth manifold of dimension two, and consider a subgroup G of the group of diffeomorphisms of M . A set $\Omega \subset M$ is G -invariant if $g\Omega \subset \Omega$ for all g in G . A set is said to be G -minimal if it is closed G -invariant nonempty, and contains no such proper subset. Let D be a set of smooth vector fields on M , and consider the group G_D generated by the one-parameter group whose infinitesimal generators are the elements of D . When D contains exactly one vector field, a well-known theorem of Schwartz [5] shows that a G_D -minimal set is either a point, a homeomorph of S^1 or all of M (in the last case M must be homeomorphic to a torus T^2). The purpose of this paper is to extend this result to arbitrary families of vector fields.

Theorem 1. *Let M be a compact connected two-dimensional smooth manifold. Let D be a set of smooth vector fields on M , and consider a G_D -minimal set $\Omega \subset M$. Then Ω must be one of the following:*

- (a) a point which is a common zero of the vector fields of D ;
- (b) a G_D -orbit homeomorphic to S^1 ;
- (c) all of M .

Proof. Let $m \in \Omega$, and denote by $\gamma(m)$ the G_D -orbit of m , i.e., the set of points of the form $g(m)$, $g \in G_D$. By a theorem of Sussmann [7], $\gamma(m)$ is a smooth connected paracompact submanifold of M (with a natural differentiable structure) of dimension k , $0 \leq k \leq 2$. All vector fields in D are tangent to $\gamma(m)$. If $k = 0$, $\gamma(m)$ is a point and we have (a). If $k = 2$, $\gamma(m)$ is open in M . Then $\overline{\gamma(m)} \setminus \gamma(m)$ is a closed invariant proper subset of Ω , so $\overline{\gamma(m)} = \gamma(m) = \Omega = M$. This gives (c). If $k = 1$, $\gamma(m)$ is homeomorphic to S^1 or \mathbf{R} . In the first case we get (b). Assume that $\gamma(m)$ is homeomorphic to \mathbf{R} , and consider $\overline{\gamma(m)} = \Omega$. If the interior of Ω is nonempty, we conclude as before that $\Omega = M$. The theorem will be proved if we show that Ω cannot be nowhere dense when $\gamma(m)$ is homeomorphic to \mathbf{R} . Let us reason by contradiction, and assume that Ω is nowhere dense.