

## On the Vanishing of Iwasawa Invariants of Certain Cyclic Extensions of $\mathbf{Q}$ with Prime Degree

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**Abstract:** Let  $\ell$  be an odd prime. In [2], Yamamoto gave a condition for  $(\ell, \ell)$ -extensions  $K$  of  $\mathbf{Q}$ , under which the Iwasawa invariants  $\lambda_\ell(K)$  and  $\mu_\ell(K)$  vanish. In this note, we shall give a condition for  $(\ell, \ell)$ -extensions  $K$  of  $\mathbf{Q}$ , which is weaker than the condition given in [2], under which we have  $\lambda_\ell(k) = \mu_\ell(k) = 0$  for any subfields  $k$  of  $K$  with  $[k : \mathbf{Q}] = \ell$ . Our proof is based on Greenberg's original idea (cf. [1]), which is more elementary than that in [2], using the capitulation of the  $\ell$ -part of the ideal class group of  $k$  in the initial layer of the cyclotomic  $\mathbf{Z}_\ell$ -extension of  $k$  to assure  $\lambda_\ell(k) = \mu_\ell(k) = 0$ .

**Key words:** Capitulation; Iwasawa invariants.

**1. Introduction.** Throughout the paper, we fix an odd prime number  $\ell$ . For a cyclic extension  $k$  of  $\mathbf{Q}$  of degree  $\ell$ , we denote by  $A(k)$  the  $\ell$ -primary part of the ideal class group of  $k$  and  $B(k)$  the subgroup of  $A(k)$  consisting of elements which are invariant under the action of the Galois group  $G(k/\mathbf{Q})$ . Let  $p_1, p_2, \dots, p_s$  be the prime numbers which are ramified in  $k/\mathbf{Q}$  and let  $\mathfrak{p}_i$  be the prime ideal of  $k$  lying over  $p_i$ . Then it is easy to see from the genus theory that  $B(k)$  is an  $\ell$ -elementary abelian group of rank  $s - 1$  generated by  $\text{cl}(\mathfrak{p}_1), \text{cl}(\mathfrak{p}_2), \dots, \text{cl}(\mathfrak{p}_s)$ . Let  $\bar{k}$  (resp.  $\tilde{k}$ ) be the  $\ell$ -part of the Hilbert class field (resp. genus field) of  $k$ . Then we have the isomorphism  $A(k) \xrightarrow{\sim} G(\bar{k}/k)$  and hence the surjective homomorphism

$$\varphi : A(k) \ni \text{cl}(\mathfrak{a}) \mapsto \left( \frac{\bar{k}/k}{\mathfrak{a}} \right) \in G(\bar{k}/k)$$

through the Artin map.

The next lemma and corollary permit us to handle the capitulation problem in  $k$  by computation in the Galois group  $G(\tilde{k}/k)$ .

**Lemma 1.1.** *We have  $A(k) = B(k)$  if and only if the restriction map  $\varphi : B(k) \rightarrow G(\tilde{k}/k)$  is surjective.*

*Proof.* Let  $\bar{G} = G(\bar{k}/\mathbf{Q})$ ,  $X = G(\bar{k}/k)$  and  $G = G(k/\mathbf{Q}) = \langle \sigma \rangle$ . Then  $G$  acts on  $X$  by an inner automorphism. Since at least one prime ideal is totally ramified in  $k/\mathbf{Q}$ , the group extension  $1 \rightarrow X \rightarrow \bar{G} \rightarrow G \rightarrow 1$  splits. Hence we see that

$[\bar{G}, \bar{G}] = X^{\sigma^{-1}}$  and so  $A(k)/A(k)^{\sigma^{-1}} \simeq X/X^{\sigma^{-1}} \simeq G(\tilde{k}/k)$  as  $G$ -module. Assume that  $\varphi : B(k)$  is surjective. Then  $A(k) = B(k)A(k)^{\sigma^{-1}}$ . Since the order of  $\sigma$  is  $\ell$  and the order of  $A(k)$  is a power of  $\ell$ , this implies  $A(k) = B(k)$ . The converse is trivial.

**Corollary 1.2.** *Assume that  $\varphi : B(k)$  is surjective. Then an ideal  $\mathfrak{a}$  of  $k$  whose class belongs to  $A(k)$  is principal if and only if  $\left( \frac{\tilde{k}/k}{\mathfrak{a}} \right) = 1$ .*

*Proof.* We have  $\bar{k} = \tilde{k}$  because  $A(k) = B(k)$ .

**2. Results.** For a prime number  $p$  congruent to one modulo  $\ell$ , we denote by  $k_p$  the unique subfield of  $\mathbf{Q}(\zeta_p)$  of degree  $\ell$ , where  $\zeta_p$  is a primitive  $p$ -th root of unity. Let  $q$  be another prime number congruent to one modulo  $\ell$ . Then  $k_p k_q$  is an  $(\ell, \ell)$ -extension of  $\mathbf{Q}$  and has  $\ell - 1$  subfields which are cyclic extensions of  $\mathbf{Q}$  of degree  $\ell$ , in which both  $p$  and  $q$  are ramified. Let  $k$  be one of such subfields and  $\mathfrak{p}_p$  (resp.  $\mathfrak{p}_q$ ) the prime ideal of  $k$  lying over  $p$  (resp.  $q$ ). Then  $B(k) = \langle \text{cl}(\mathfrak{p}_p), \text{cl}(\mathfrak{p}_q) \rangle$  and  $|B(k)| = \ell$ . Note that  $k_p k_q$  is the  $\ell$ -part of the genus field of  $k/\mathbf{Q}$ . Since  $\mathfrak{p}_p$  is ramified in  $k/\mathbf{Q}$ ,  $\left( \frac{k_p k_q/k}{\mathfrak{p}_p} \right)$  is trivial if and only if  $\left( \frac{k_q/\mathbf{Q}}{p} \right)$  is trivial. Let  $\left( \frac{p}{q} \right)_\ell$  denote the  $\ell$ -th power residue symbol. Then the following lemma is an immediate consequence of Lemma 1.1.

**Lemma 2.1.** *We have  $|A(k)| = \ell$  if and*

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