

## 8. Formation of Singularities in Solutions of the Nonlinear Schrödinger Equation<sup>\*)</sup>

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(Communicated by Shokichi IYANAGA, M. J. A., Jan. 14, 1991)

**§ 1. Introduction and results.** This paper is a sequel to the previous ones [5] and [6]. We continue the study of the  $L^2$ -concentration in solutions of initial value problem for the nonlinear Schrödinger equation:

$$(Cp) \quad \begin{cases} \text{(NLS)} & 2i \frac{\partial u}{\partial t} + \Delta u + |u|^{4/N} u = 0, & (t, x) \in \mathbf{R}^+ \times \mathbf{R}^N, \\ \text{(IV)} & u(0, x) = u_0(x), & x \in \mathbf{R}^N, \end{cases}$$

where  $i = \sqrt{-1}$ ,  $u_0 \in H^1 = H^1(\mathbf{R}^N)$ ,  $\Delta$  is the Laplacian on  $\mathbf{R}^N$ .

The local existence theory for (Cp) is well known ([1], [3]); there are  $T_m \in (0, \infty]$  (maximal existence time) and a unique solution  $u(\cdot) \in C([0, T_m]; H^1)$  of (Cp). Furthermore  $u$  satisfies

$$(1.1) \quad \|u(t)\| = \|u_0\|,$$

$$(1.2) \quad E(u(t)) \equiv \|\nabla u(t)\|^2 - (2/\sigma) \|u(t)\|_\sigma^\sigma = E(u_0),$$

for  $t \in [0, T_m)$ . Here  $\sigma = 2 + 4/N$  and  $\|\cdot\|_\sigma$  ( $\|\cdot\|_\sigma$ ) denotes the  $L^2(\mathbf{R}^N)(L^\sigma(\mathbf{R}^N))$ -norm.

It is also well-known (see [2]) that, for some  $u_0$ , the solution  $u$  shows the singular behavior (blow-up) that

$$(1.3) \quad \lim_{t \rightarrow T_m} \|\nabla u(t)\| = \|u(t)\|_\sigma = \infty$$

for some  $T_m \in (0, \infty]$ .

Of physical importance is the case  $N=2$ , when (NLS) is a model of the stationary self-focusing of a laser beam propagating along the  $t$ -axis. It is considered that the singular behavior (1.3) corresponds to the focus of the beam. Thus our purpose is to obtain more precise analysis of the behavior of the singular solution  $u(t)$  of (Cp) as  $t \uparrow T_m$ . Because of its mathematical interest however, we intend to develop a theory for arbitrary dimensions  $N$ . It should be noted that (NLS) has a remarkable property that it is invariant under the pseudo-conformal transformations.

In [6], we proved;

**Proposition A.** *Suppose that the solution  $u(t)$  of (Cp) satisfies (1.3).*

*Let  $(t_n)_n$  be any sequence such that  $t_n \rightarrow T_m$  as  $n \rightarrow \infty$ . Set*

$$(A.1) \quad \lambda_n \equiv \lambda(t_n) = 1 / \|u(t_n)\|_\sigma^{\sigma/2} \quad (\longrightarrow 0 \text{ as } n \longrightarrow \infty),$$

$$(A.2) \quad u_n(t, x) \equiv S_{\lambda_n} u(t, x) = \lambda_n^{N/2} u(t, \lambda_n x).$$

*Then there exists a subsequence of  $(t_n)_n$  (we still denote it by  $(t_n)_n$ ) which satisfies the following properties: one can find  $L \in \mathbf{N} \cup \{\infty\}$  and sequences  $(y_n^j)_n$  in  $\mathbf{R}^N$  for  $1 \leq j \leq L$  such that*

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<sup>\*)</sup> In memory of my father.