

102. On Automorphisms of Algebraic K3 Surfaces which Act Trivially on Picard Groups

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1. Introduction. In this note we study automorphisms of algebraic K3 surfaces over C which act trivially on Picard groups. Recall that a K3 surface X is a nonsingular compact complex surface with trivial canonical bundle and $\dim H^1(X, \mathcal{O}_X) = 0$. The second cohomology group $H^2(X, \mathbf{Z})$ admits a canonical structure of a lattice of rank 22 induced from the cup product. We denote by S_X the Picard group of X . Then S_X has a structure of a sublattice of $H^2(X, \mathbf{Z})$. Let T_X be the orthogonal complement of S_X in $H^2(X, \mathbf{Z})$ which is called a *transcendental lattice* of X . Put $H_X = \text{Ker}(\text{Aut}(X) \rightarrow \text{Aut}(S_X))$. Then H_X is a cyclic group \mathbf{Z}/m of order m , and $\phi(m)$ is a divisor of the rank of T_X where ϕ is the Euler function ([3], Corollary 3.3).

Theorem. *Let X be an algebraic K3 surface and m_X the order of H_X . Assume that the lattice T_X is unimodular (i.e. $\det(T_X) = \pm 1$). Then*

- (1) m_X is a divisor of 66, 44 or 12.
- (2) Suppose that $\phi(m) = \text{rank}(T_X)$. Then m_X is equal to either 66 or 42. Moreover for $m = 66$ or 42, there exists a unique (up to isomorphisms) algebraic K3 surface with $m_X = m$.

In case T_X is non unimodular, Vorontsov [8] proved a similar result as the above theorem. However his statement for unimodular case is not complete and contains a mistake, i.e. he claims that there exists an algebraic K3 surface with $m_X = 12$ and $\text{rank}(T_X) = \phi(12)$ (his proof has not yet published). His method is based on the theory of a cyclotomic field $\mathbf{Q}(m)$. Here we use only the theory of elliptic surfaces due to Kodaira [1].

2. Example. In this section we construct two examples of algebraic K3 surfaces with $m_X = 66, 42$.

(2.1) **Example 1.** Let (x, y, z) be a system of a homogeneous coordinate of \mathbf{P}^2 . We take two copies $W_0 = \mathbf{P}^2 \times C_0$ and $W_1 = \mathbf{P}^2 \times C_1$ of the cartesian product $\mathbf{P}^2 \times C$ and form their union $W = W_0 \cup W_1$ by identifying $(x, y, z, u) \in W_0$ with $(x_1, y_1, z_1, u_1) \in W_1$ if and only if $u \cdot u_1 = 1$, $x = x_1$, $y = u_1^6 \cdot y_1$ and $z = u_1^2 \cdot z_1$. We define a subvariety X of W by the following equations:

$$(2.2) \quad \begin{aligned} z^3 - y \left\{ y^2 \prod_{i=1}^{12} (u - \xi_i) - x^2 \right\} &= 0, \\ z_1^3 - y_1 \left\{ y_1^2 \prod_{i=1}^{12} (1 - u_1 \cdot \xi_i) - x_1^2 \right\} &= 0 \end{aligned}$$

where ξ_i ($i = 1, 2, \dots, 12$) are distinct complex numbers. Let π be a projection from X to the u -sphere \mathbf{P}^1 . It is easy to see that X is non singular