

93. A Remark on the Essential Self-adjointness of Dirac Operators

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In this paper we shall consider the essential self-adjointness of Dirac operators

$$H = \sum_{j=1}^3 \alpha_j D_j + \beta + Q(x), \quad x \in \mathbf{R}^3, \quad D_j = \frac{1}{i} \frac{\partial}{\partial x_j}$$

defined on $[C_0^\infty(\mathbf{R}^3)]^4$, where α_j and $\alpha_4 = \beta$ are 4×4 Hermitian symmetric matrices satisfying

$$\alpha_j^2 = I, \quad \alpha_j \alpha_k + \alpha_k \alpha_j = 0 \quad (j \neq k)$$

(I is the unit matrix). We define α_r by

$$\alpha_r = \sum_{j=1}^3 (x_j/r) \alpha_j \quad (r = |x|)$$

which is Hermitian symmetric for each $x \neq 0$ and satisfies

$$(1) \quad \alpha_r^2 = I$$

in view of the above anti-symmetric relations. The potential $Q(x)$ is a 4×4 Hermitian symmetric matrix valued function of the following form

$$Q(x) = \frac{ib_1}{r} \alpha_r \beta + \frac{b_2}{r} \beta + V(x),$$

where b_1, b_2 are real constants. M. Arai [1], Theorem 3.1, shows that H is essentially self-adjoint and that the domain of the closure \bar{H} coincides with the Sobolev space $[H^1(\mathbf{R}^3)]^4$, if

$$(2) \quad r \left| V(x) + \frac{i}{2r} \alpha_r \right| \leq m$$

for a positive constant m such that

$$(3) \quad m < m_0 \equiv \min_{k \in \mathbf{Z} \setminus \{0\}} \sqrt{(k + b_1)^2 + b_2^2}$$

(see our Remark 8), where $|A|$ for a matrix A denotes the square root of the largest eigenvalue of A^*A . Moreover, Arai [1], Theorem 2.7, proves for the Coulomb potential $V(x) = (e/r)I$ that H is essentially self-adjoint if and only if $e^2 \leq m_0^2 - (1/4)$.

Our result is that we can take $m = m_0$ in (2), that is,

Theorem 1. *If the potential $Q(x)$ satisfies*

$$(4) \quad r \left| V(x) + \frac{i}{2r} \alpha_r \right| \leq m_0,$$

then H is essentially self-adjoint.

Corollary 2. *Let $m_0 \geq (1/2)$.*

(i) *If $V(x)$ satisfies*