

12. On the Cartan Decomposition of a Lie Algebra.

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Let \mathfrak{L} be a Lie algebra over the field of complex numbers, \mathfrak{H} and \mathfrak{H}' maximal nilpotent subalgebras of \mathfrak{L} containing regular elements. E. Cartan has shown for semi-simple \mathfrak{L} that there exists an inner automorphism A such that $\mathfrak{H}' = A\mathfrak{H}$ ¹⁾. In this note we shall show that this theorem is valid for any, not necessarily semi-simple, Lie algebra. From this we see easily that the decomposition of a Lie algebra into the eigen-spaces of a maximal nilpotent subalgebra containing a regular element (Cartan decomposition) is unique up to inner automorphisms of \mathfrak{L} .

Let \mathfrak{G} be the Lie group which corresponds to \mathfrak{L} . To every element a of \mathfrak{L} corresponds a one-parameter subgroup $g(t)$ of \mathfrak{G} and a is the tangent vector at the unit element to the differentiable curve $g(t)$. Extending to general Lie groups a notion familiar for matrices, we shall denote by $\exp ta$ this one-parameter subgroup $g(t)$ and by $\exp a$ the point of parameter 1 on this curve. Further $\exp \mathfrak{H}$ means the (local) subgroup of \mathfrak{G} which corresponds to a subalgebra \mathfrak{H} of \mathfrak{L} . If we transform the elements of the group $\exp ta$ by a fixed element g , we obtain a new one-parameter subgroup $\exp ta'$; the mapping $a \rightarrow A_g a = a'$ is an inner automorphism of \mathfrak{L} generated by g . The mapping $x \rightarrow D_a x = [a, x]$, with a fixed, is a linear operation in \mathfrak{L} , which is called inner derivation of \mathfrak{L} . Suppose that $g = \exp a$ and g is sufficiently near to the unit element, then $A_g = \exp D_a$. Let us decompose \mathfrak{L} by A_g into eigen-spaces :

$$\mathfrak{L} = \mathfrak{L}_1 + \mathfrak{L}_\rho + \mathfrak{L}_\sigma + \dots$$

where $\mathfrak{L}_1, \mathfrak{L}_\rho, \dots$ are the eigen-spaces for the characteristic roots, 1, ρ, \dots of A_g . Here \mathfrak{L}_1 is a subalgebra of \mathfrak{L} ²⁾.

Lemma³⁾. The systems $u^{-1} g \exp \mathfrak{L}_1 u$, where u runs over a neighbourhood of the unit element, contain a neighbourhood of the element g in \mathfrak{G} .

Proof. Let a_1, a_2, \dots, a_s be a basis of the subalgebra \mathfrak{L}_1 and a_{s+1}, \dots, a_r a basis of $\mathfrak{L}_\rho + \mathfrak{L}_\sigma + \dots$. Then the (local) subgroup $\exp \mathfrak{L}_1$ is composed of all elements of the forms $\exp(t_1 a_1 + \dots + t_s a_s)$, where the parameters t_i are sufficiently near to zero. To prove our Lemma, it is sufficient to show that the set of elements

1) E. Cartan, Le principe de dualité et la théorie des groupes simples et semi-simples (Bull. Sc. math. t. 49, 1925). Gantmacher has given a proof in a somewhat general form. F. Gantmacher, Canonical representations of automorphisms of a complex semi-simple Lie group, (Recueil mathématique, 5(47), 1939).

2) See Gantmacher, l. c. P. 107: If g is sufficiently near to the unit element then $\mathfrak{L}_1 \neq 0$.

3) Cf. Gantmacher, l. c. P. 113.