

227. Remark on the Essential Spectrum of Symmetrizable Operators

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1. Introduction. We will use the following notion and notations. We mainly refer to [3]; see also [1].

Let T be a closed linear operator in a Banach space E , $\rho(T)$ its resolvent set, and $\sigma(T)$ its spectrum. The dimension of the null space of T , $N(T)$, written $\alpha(T)$, will be called the *kernel index* of T and the *deficiency* of the range T in E , $R(T)$, written $\beta(T)$, will be called the *deficiency index* of T . The *index* $\kappa(T)$ is defined by

$$\kappa(T) = \alpha(T) - \beta(T).$$

If the operator T has a finite index, it is called a *Fredholm operator*.

We denote by $\sigma_{em}(T)$ the set of all complex number λ for which $T - \lambda I$ is not a Fredholm operator with index zero and call it the *essential spectrum* of T . The set of points of $\sigma(T)$ which is not an isolated eigenvalue λ of finite multiplicity, namely $\alpha(T - \lambda I) < \infty$, will be denoted by $\sigma_0(T)$. Here an isolated eigenvalue means an eigenvalue which is an isolated point of the spectrum.

Let X be a Banach space and H a Hilbert space such that

- i) $X \subset H$, and the embedding mapping; $X \rightarrow H$ is continuous,
- ii) X is dense in H .

The purpose of this paper is to prove the following theorem:

Theorem. *Let T be a closed linear operator in X and essentially self-adjoint in H , that is, its smallest closed extension (or its closure) in H is self-adjoint. Then*

$$\sigma_0(T|X) = \sigma_{em}(T|X).$$

Here we denoted by $T|X$ the operator considered in X . Similarly we will denote by \bar{T} the closure of T in H , $\sigma(\bar{T}|H)$ the spectrum of \bar{T} in H and so on.

Since the index of the Fredholm operator is invariant under the addition of compact operators [3, Theorem V.2.1], in particular, when K is a linear compact operator in X ,

$$\sigma_{em}(T|X) = \sigma_{em}(T + K|X).$$

In addition, if K is symmetrizable, that is, symmetric with respect to the inner product of H , $T + K$ is essentially self-adjoint [4, p. 288, Theorem 4.4] and, by our Theorem