

58. On the Uniqueness of the Cauchy Problem for Semi-elliptic Partial Differential Equations. III

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1. Introduction. In this note we shall remark the superfluity of the condition IV of the uniqueness theorems obtained in the previous note [5]. As Theorem 1 is fundamental among Theorems in [5], we shall only indicate the modifications to be done in its proof. That theorem is related as the following:

Theorem 1 in [5]. $P(x, D) = P_0(x, D) + Q(x, D)$,

$$P_0(x, D) = \sum_{|\alpha:m|=1} a_\alpha(x) D^\alpha, \quad Q(x, D) = \sum_{j=1}^n \sum_{|\alpha:m| \leq 1 - \frac{1}{m_j}} a_\alpha(x) D^\alpha. \quad *)$$

I. (1) $m_1 \geq m_j$. (2) The coefficients of $P_0(x, D)$ are in $C^{2|m|}(\Omega)$ and those of $Q(x, D)$ are in $C(\Omega)$ and bounded on $\bar{\Omega}$, where Ω is a domain containing $x=0$. (3) For $\alpha = (m_1, 0, \dots, 0)$, $a_\alpha(0) \neq 0$.

II. $P_0(x, D)$ is semi-elliptic at $x=0$, i.e. $P_0(0, \xi)$ does not vanish for any non-zero real vector ξ .

III. Let $\zeta_1 = \zeta_1(\tilde{\xi})$ be a root of $P_0(0, \zeta_1, \tilde{\xi}) = 0$, then $P_0^{(3)}(0, \zeta_1, \tilde{\xi})$ does not vanish for any non-zero real vector $\tilde{\xi}$.

IV. Let be $N^0 = (-1, 0, \dots, 0)$, $N = (N_1, N_2, \dots, N_n)$ where N_j 's are real, and $\xi + i\tau N = (\xi_1 + i\tau N_1, \dots, \xi_n + i\tau N_n)$ where τ is a real number. For $m_1 \geq 2$ there are neighborhoods $U_0(0)$ of $x=0$, $V_0(N^0)$ of N^0 , and a constant C_0 such that

$$(1.1) \quad \sum_{j=1}^n \sum_{|\alpha:m| = 1 - \frac{1}{m_j}} |(\xi + i\tau N)^\alpha|^2 \leq C_0 \left[\sum_{j=1}^n |P_0^{(j)}(x, \xi + i\tau N)|^2 + 1 \right]$$

holds for any $x \in U_0(0)$, any $N \in V_0(N)$ and any $(\xi, \tau) \in E^n \times R^1$, $\tau \geq 1$.

Suppose that I, II, III and IV hold. Then there exist the constants $C, \delta_0 > 0, M \geq 1$, and for any real number τ, δ satisfying $\delta < \delta_0, \tau \delta > M$,

$$(1.2) \quad \sum_{|\alpha:m| \leq 1} [(1 + \tau \delta^2) \tau]^{m_0(1 - \frac{1}{m_1} - |\alpha:m|)} \int |D^\alpha u|^2 \exp(2\tau \varphi_\delta(x)) dx \leq C \int |P(x, D)u|^2 \exp(2\tau \varphi_\delta(x)) dx$$

holds if $u \in C_0^\infty(U_\delta(0))$, where $\varphi_\delta(x)$ is $(x_1 - \delta)^2 + \delta \sum_{j=2}^n x_j^2$ and $U_\delta(0)$ is a neighborhood depending on δ .

2. The superfluity of the condition IV. We first used the

*) $\alpha = (\alpha_1, \alpha_2, \dots, \alpha_n) \alpha_j$; integer $\geq 0, m = (m_1, m_2, \dots, m_n) m_j$; integer $> 0, |\alpha:m| = \sum_{j=1}^n \frac{\alpha_j}{m_j}$. For the other notations, see [5].