

60. Structure Theorems for Some Classes of Operators

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1. We consider bounded linear operators on a Hilbert space H . Denote by $\sigma(T)$, $\sigma_p(T)$, $\sigma_r(T)$, $\sigma_c(T)$ the spectrum, the point spectrum, the residual spectrum and the continuous spectrum respectively, by $r(T) = \sup \{|\lambda| : \lambda \in \sigma(T)\}$ the spectral radius and by $W(T) = \{(Tx, x) : \|x\| = 1\}$ the numerical range. It is known [3] that $W(T)$ is convex and $\text{conv } \sigma(T) \subset \text{cl } W(T)$ ($\text{conv} = \text{convex hull}$, $\text{cl} = \text{closure}$). An operator T is said to be hyponormal if $T^*T - TT^* \geq 0$, or equivalently if $\|T^*x\| \leq \|Tx\|$ for every $x \in H$. As in [1] an operator is said to be restriction-convexoid (reduction-convexoid) if the restriction of T to every invariant (invariant under T and T^*) subspace is convexoid, where convexoid means that $\text{conv } \sigma(T) = \text{cl } W(T)$.

In this Note we give some theorems on structure of hyponormal and restriction-convexoid operators whose spectrum lies on a convex curve.

2. Our main result in this section is

Theorem 1. *If T is a hyponormal operator and has the following properties*

1° $T^p = ST^*S^{-1} + C$ for some S for which $0 \notin \text{cl } W(S)$ and C = compact operator

2° if $\mu, \lambda \in \sigma(T)$, $1 + \frac{\lambda}{\mu} + \left(\frac{\lambda}{\mu}\right)^2 + \cdots + \left(\frac{\lambda}{\mu}\right)^{p-1} \neq 0$

then T is a normal operator.

For the proof we need the following

Lemma 1. *If T is a hyponormal operator which is the sum of a self-adjoint operator A and a compact operator C , then T is a normal operator.*

Proof. Since T is hyponormal it is known [10] that T can be expressed uniquely as a direct sum $T = T_1 \oplus T_2$ defined on a product space $H = H_1 \oplus H_2$ where H_1 is spanned by all the proper vectors of T such that: (a) T_1 is normal and $\sigma(T_1) = \text{cl } \sigma_p(T)$, (b) T_2 is hyponormal and $\sigma_p(T_2) = \emptyset$, (c) T is normal if and only if T_2 is normal.

From the fact that $T = A + C$ we conclude by Lemma 2 [10] that $\sigma_c(T_2) \subset \sigma(A)$ and therefore $\sigma_c(T_2)$ is real. Since $\sigma_r(T)$ is open [9] and $\sigma(T)$ is closed, we have that $\partial_r(T_2) \subset \sigma_p(T_2) \cup \sigma_c(T_2) = \sigma_c(T_2)$ ($\partial = \text{boundary}$). Therefore T_2 is selfadjoint since T_2 is hyponormal with real spectrum.