

## 176. Operator Norms as Bounds for Roots of Algebraic Equations

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**1. Introduction.** Very recently, Ifantis and Kouris [1] show, a Hilbert space approach is powerful to give bounds of roots of algebraic equations; actually, they show that the operator bound of a perturbation of the simple unilateral shift by a dyad gives certain bounds of roots. In the present note, giving three norms on  $n$ -dimensional vector space, we shall obtain certain bounds of roots estimating operator norms of companion matrices.

For a given algebraic equation

$$(1) \quad p(z) = z^n + a_n z^{n-1} + \cdots + a_1 = 0,$$

we associate the *companion matrix*

$$(2) \quad T = \begin{pmatrix} -a_n & -a_{n-1} & -a_{n-2} & \cdots & -a_2 & -a_1 \\ 1 & 0 & 0 & \cdots & 0 & 0 \\ 0 & 1 & 0 & \cdots & 0 & 0 \\ \cdots & \cdots & \cdots & \cdots & \cdots & \cdots \\ 0 & 0 & 0 & \cdots & 1 & 0 \end{pmatrix},$$

cf. [2], esp. Chapter VII. It is well-known that the spectrum  $\sigma(T)$  of  $T$  coincides with the set of all roots of (1), i.e.

$$(3) \quad \sigma(T) = \{z; p(z) = 0\}.$$

From (3), we have

$$(4) \quad |z| \leq r(T) \leq \|T\|,$$

for any root  $z$  of (1), where  $r(T)$  is the spectral radius of  $T$ :  $r(T) = \sup_{z \in \sigma(T)} |z|$  and  $\|T\|$  is the operator norm of  $T$ :  $\|T\| = \sup_{\|f\|=1} \|Tf\|$  considering  $T$  as an operator on the  $n$ -dimensional Banach space  $H$ .

**2. Carmichael-Mason's theorem.** Here we regard  $H$  as the  $n$ -dimensional unitary space with orthonormal basis  $e_1, \cdots, e_n$ . For  $x, y \in H$ , we put  $(x \otimes y)z = (z, y)x$  for  $z \in H$ . Then we can express the companion matrix  $T$  of (1) as

$$(5) \quad T = V - e_1 \otimes u,$$

where

$$(6) \quad u = a_n^* e_1 + \cdots + a_1^* e_n$$

and