

33. Local Solvability of a Class of Partial Differential Equations with Multiple Characteristics

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§ 1. Introduction. The present paper is concerned with local solvability for the following type of operators with C^∞ coefficients

$$L(x; \partial_x) = P(x; \partial_x) + Q(x; \partial_x) + R(x; \partial_x) \quad (x \in \mathbf{R}^n),$$

where $P(x; \partial_x)$, $Q(x; \partial_x)$, $R(x; \partial_x)$ are the principal part of order s , the homogeneous part of order $s-1$, and the part of order $s-2$, respectively. When $P(x; \partial_x)$ is of principal type, L. Nirenberg-F. Treves [3] and R. Beals-G. Fefferman [1] established the necessary and sufficient condition for local solvability. On the other hand, when $P(x; \partial_x)$ has double characteristics, a necessary condition is given by F. Cardoso-F. Treves [2]. In that paper they pointed out that the subprincipal part of $L(x; \partial_x)$ plays an important role.

In this paper, we give a sufficient condition under some hypotheses not only for the principal part but for the subprincipal part. A forthcoming article will give a detailed proof. Let V_x be a neighbourhood of the origin in \mathbf{R}_x^n , and S_ξ^{n-1} be the unit sphere in \mathbf{R}_ξ^n . For the principal symbol $P(x; \xi)$, we assume that the characteristics of $P(x; \xi)$ have locally constant multiplicities in $V_x \times S_\xi^{n-1}$. Under this assumption when we divide $J = \{(x, \xi) \in V_x \times S_\xi^{n-1} \mid P(x; \xi) = 0\}$ into the connected components $\{J_k\}$, $P(x; \xi)$ vanishes of constant order m_k on J_k . Moreover, for simplicity, we assume that $P(x; \partial_x)$ has real coefficients.

§ 2. Statement of the theorem. Let us put

$$J^{(2)} = \{(x, \xi) \in J \mid \text{grad}_\xi P(x; \xi) = 0\}$$

and divide it into the connected components $\{J_k^{(2)}\}$. For the subprincipal symbol

$$\Pi(x; \xi) = Q(x; \xi) - \frac{1}{2} \sum_{j=1}^n \frac{\partial^2}{\partial x_j \partial \xi_j} P(x; \xi),$$

we assume that on each $J_k^{(2)}$, $\Pi(x; \xi)$ satisfies one of the following conditions:

- (A) $\text{Re } \Pi(x; \xi) \neq 0$ on $J_k^{(2)}$.
- (B) $\Pi(x; \xi) \equiv 0$ on $J_k^{(2)}$ and if $m_k \geq 3$ moreover $\text{grad}_\xi \text{Re } \Pi(x; \xi) \neq 0$ on $J_k^{(2)}$.

When the above assumptions are satisfied, we have the following proposition.

Proposition. For arbitrary real number l , there exists a neigh-