

Caps embedded in the Klein quadric

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1 Introduction

Let $PG(N, q)$ be the projective space of dimension N over the finite field $GF(q)$. A k -cap K in $PG(N, q)$ is a set of k points, no three of which are collinear [14], and a k -cap is called *complete* if it is maximal with respect to set-theoretic inclusion. The maximum value of k for which there exists a k -cap in $PG(N, q)$ is denoted by $m_2(N, q)$ [14]. This number $m_2(N, q)$ is only known, for arbitrary q , when $N \in \{2, 3\}$. Namely, $m_2(2, q) = q + 1$ if q is odd, $m_2(2, q) = q + 2$ if q is even, and $m_2(3, q) = q^2 + 1$, $q > 2$. With respect to the other values of $m_2(N, q)$, apart from $m_2(N, 2) = 2^N$, $m_2(4, 3) = 20$, $m_2(5, 3) = 56$ and $m_2(4, 4) = 41$ [2], only upper bounds are known. Finding the exact value for $m_2(N, q)$, $N \geq 4$ and constructing an $m_2(N, q)$ -cap seems to be a very hard problem. In the last few years there has been a certain interest in caps embedded in the Klein quadric \mathcal{K} of $PG(5, q)$ considered as ambient space, and the main purpose is to find lower and upper bounds for a complete cap embedded in \mathcal{K} . In this direction, Blokhuis and Sziklai [3] proved a lower bound for the smallest complete cap of the Klein quadric. Precisely such a cap has size at least $const \cdot q^{12/7}$. In 1997, Cossidente, Hirschfeld and Storme [8] constructed a cap of size $2q^2 + q + 1$ of \mathcal{K} obtained by gluing together two suitable Veronese surfaces. If we assume q even, it is always possible to extend such a cap to a complete $2(q^2 + q + 1)$ -cap of \mathcal{K} [5]. This seems to be the unique known example of smallest complete cap of \mathcal{K} . On the other hand Glynn [12] proved (using the Klein correspondence between lines of $PG(3, q)$ and points of $PG(5, q)$) that any line orbit of a Singer cyclic group of $PG(3, q)$ corresponds to a cap of size

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