

On non-singular stable maps of 3-manifolds with boundary into the plane

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(Received December 10, 1999)

(Revised January 19, 2000)

ABSTRACT. Let M be a compact connected orientable 3-manifold with non-empty boundary and $f : M \rightarrow \mathbf{R}^2$ a stable map. In this paper we study the existence of an immersion or embedding lift of f to \mathbf{R}^n ($n \geq 3$) with respect to the standard projection $\mathbf{R}^n \rightarrow \mathbf{R}^2$. We also characterize the orientable 3-dimensional handlebody in terms of stable maps which have only a restricted class of singularities. Moreover, by using the concept of an embedding lift of a certain map of a 2-dimensional polyhedron into \mathbf{R}^2 , we give a characterization of S^3 .

1. Introduction

Let M be a smooth manifold, $f : M \rightarrow \mathbf{R}^m$ a smooth map and $\pi : \mathbf{R}^n \rightarrow \mathbf{R}^m$ ($n > m$) a standard projection. Then we ask if there exists an immersion or embedding $g : M \rightarrow \mathbf{R}^n$ which satisfies $f = \pi \circ g$. Such a map g is called an *immersion* or *embedding lift* of f .

In this paper, M will be a compact connected orientable 3-manifold with non-empty boundary, of class C^∞ . Let $f : M \rightarrow \mathbf{R}^2$ be a stable map. We ask if there exists an immersion or embedding lift of f to \mathbf{R}^n ($n \geq 3$) with respect to the standard projection $\pi : \mathbf{R}^n \rightarrow \mathbf{R}^2$, $(x_1, x_2, \dots, x_n) \mapsto (x_1, x_2)$. A point x in M is called a *singularity* if $\text{rank } df_x < 2$. $S(f)$ denotes the set of singularities of f . Our main result is the following theorem.

THEOREM 1. *Let M be a compact connected orientable 3-manifold with non-empty boundary and $f : M \rightarrow \mathbf{R}^2$ a stable map. We consider the condition (I): For any $r \in \mathbf{R}^2$, $f^{-1}(r)$ is either empty or homeomorphic to a finite disjoint union of closed intervals and points. Then the following two conditions are equivalent.*

- (a) f has an immersion lift to \mathbf{R}^3 .
- (b) $S(f) = \emptyset$ and f satisfies the condition (I).

2000 *Mathematics Subject Classification.* 57R45, 57R42, 57M99.

Key words and phrases. 3-manifold, boundary, stable map, singularity, immersion lift, embedding lift, Stein factorization.