

A new proof of the Baker-Campbell-Hausdorff formula

Dedicated to Professor Shôkichi Iyanaga on his 60th birthday

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This formula states

$$(1) \quad e^A \cdot e^B = e^Z, \quad Z = \sum_{n=1}^{\infty} F_n(A, B)$$

for noncommuting indeterminates A, B with homogeneous polynomials $F_n(A, B)$ of degree n which have the essential property that they are formed from A, B by Lie multiplication, except for $F_1(A, B) = A + B$. We shall briefly speak of Lie polynomials. The usual proofs (e.g. [1], [2]) employ preliminary theorems by Finkelstein or Friedrichs characterizing Lie polynomials by formal properties (see also [3]). In the following lines I give a short proof which needs no preparations.

It is evident that polynomials $F_n(A, B)$ exist satisfying (1). We only have to prove that they are Lie polynomials. The first two are

$$F_1(A, B) = A + B, \quad F_2(A, B) = -\frac{1}{2}(AB - BA).$$

Now let $n > 2$ and assume that all $F_\nu(A, B)$ with $\nu < n$ are Lie polynomials. With 3 indeterminates we express

$$(e^A e^B) e^C = e^A (e^B e^C):$$

$$W = \sum_{i=1}^{\infty} F_i \left(\sum_{j=1}^{\infty} F_j(A, B), C \right) = \sum_{i=1}^{\infty} F_i \left(A, \sum_{j=1}^{\infty} F_j(B, C) \right)$$

and compare the homogeneous terms of degree n on both sides, using the following 2 facts: 1) If $F(A, B, \dots), X(A, B, \dots), Y(A, B, \dots), \dots$ are Lie polynomials then also $G(A, B, \dots) = F(X(A, B, \dots), Y(A, B, \dots), \dots)$ is one. 2) If $F(A, B, \dots)$ is a Lie polynomial then the homogeneous summands into which F splits up are Lie polynomials. The induction assumption implies that all homogeneous terms of degree n in both expressions for W are Lie polynomials with the possible exceptions of $F_n(A, B) + F_n(A + B, C)$ on the left side and $F_n(A, B + C) + F_n(B, C)$ on the right. In other words, the difference is a Lie polynomial. We can abbreviate this as

$$(2) \quad F(A, B) + F(A + B, C) \sim F(A, B + C) + F(B, C)$$