

On the signature invariants of a non-singular complex sesqui-linear form

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The purpose of this note is to make clear the relationship between two types of signatures defined for a non-singular real bilinear or complex sesqui-linear form, and then, to get a result in the algebraic topology.

Let $l: V \times V \rightarrow \mathbb{C}$ be a complex sesqui-linear form of finite dimension; a matrix representation $x^* \Gamma y$ is used and a symbol “ $*$ ” stands for the transpose of the conjugate of the matrix or the vector. Let t be an indeterminant which may be thought either as an automorphism or as a variable ranging over the complex numbers. We call $\Gamma(t) = \Gamma - \Gamma^* t$ an Alexander matrix and $\det \Gamma(t)$ the Alexander polynomial. The first series of signatures consists of the signature τ_ω of the hermitian form $l_\omega = x^* \Gamma_\omega y$ with $\Gamma_\omega = (1/2)\{(1 - \bar{\omega})\Gamma + (1 - \omega)\Gamma^*\}$. Since $\tau_\xi = \text{sign}(1 - \text{Re } \xi) \tau_\omega$ with $\omega = -(1 - \xi)/(1 - \bar{\xi})$, the only interesting case is when ω is on the unit circle, where Γ_ω reduces to $\Gamma_\omega = (1/2)(1 - \bar{\omega})\Gamma(\omega)$.

A hermitian form $l_+ = x^* A y$ where $A = (1/2)(\Gamma + \Gamma^*)$ and a skew-hermitian form $l_- = x^*(-Q)y$ where $Q = (1/2)(\Gamma^* - \Gamma)$ are considered; then $\Gamma = A - Q$ and of course $2A = \Gamma_{-1}$. If the form l is non-singular, then the matrix $P = (\Gamma^*)^{-1} \Gamma$ gives an automorphism t of l , i. e., $P^* \Gamma P = \Gamma$, and hence of l_ω , l_+ and l_- . The eigen-values α of the automorphism t associate another series of signatures $\sigma_{(\alpha)}$ which are defined by the hermitian form l_+ ; where l_+ is restricted to the α -root subspaces $V_\alpha = \{x \in V; (t - \alpha)^k x = 0 \text{ for some } k\}$. Note that $\dim V_\alpha > 0$ if and only if α is a root of the Alexander polynomial and we have a generalized Cayley transformation $Q(I + P) = A(I - P)$. Moreover, we can remark that, if $\alpha \neq \pm 1$, $\sigma_{(\alpha)} = \text{sign}(V_\alpha; l_+)$ is equal to $\text{sign}(\text{Im } \alpha) \text{sign}(V_\alpha; i l_-)$. (Cf. § 1, case (b).) We define $\sigma_{(-1 \pm 0i)}$ by $\pm \text{sign}(V_{-1}; i l_-)$.

THEOREM 1 (Complex case). For $\omega = \exp(i\varphi)$ and $\alpha = \exp(i\theta)$ with $-\pi < \varphi < \pi$ and $-\pi < \theta < \pi$,

$$(*) \quad \tau_\omega = \text{sign}(\text{Im } \omega) \left\{ \sum_{|\alpha|=1, \alpha \neq -1} \text{sign}(\varphi - \theta) \sigma_{(\alpha)} + \sigma_{(-1+0i)} \right\}$$

holds, provided either the automorphism t is semi-simple, or ω is not a root of the Alexander polynomial.

REMARK. If $\omega = -1$, (*) is replaced by (*)' $\text{sign}(l_+) = \sum \sigma_{(\alpha)} (|\alpha|=1, \alpha \neq -1)$. The formula, (*) or (*)', does not always hold. The excluded cases will be