

Principal functions and invariant subspaces of hyponormal operators

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1. Introduction and Theorems

A bounded linear operator T on a Hilbert space is said to be *hyponormal* if its self-commutator $[T^*, T] \equiv T^*T - TT^*$ is positive semi-definite, and *pure hyponormal* if, in addition, T has no nontrivial reducing subspace on which it is normal.

It is not known at present whether every hyponormal operator has a non-trivial invariant subspace. Putnam [7] and Apostol and Clancey [1] presented some conditions for a hyponormal operator to have invariant subspaces. In this paper, by using the principal function invented by Pincus [6], we shall improve the results of Putnam, and Apostol and Clancey.

Let $T = X + iY$ be a pure hyponormal operator, where X and Y are self-adjoint. Then it is known that X and Y are absolutely continuous (see [4, Chap. 2, Th. 3.2]). Let $X = \int t dG(t)$ be the spectral resolution of X . Then the *absolutely continuous support* E_X of X is defined as a Borel subset of the real line (determined uniquely up to a null set) having the least Lebesgue measure and satisfying $G(E_X) = I$. Analogously E_Y is defined for Y .

The main results in this paper are the following:

THEOREM 1. *Let $T = X + iY$ be a pure hyponormal operator. Suppose that, for some real μ_0 , the spectrum of T , $\sigma(T)$, has non-empty intersection with each of the open half-planes $\{z: \operatorname{Re} z < \mu_0\}$ and $\{z: \operatorname{Re} z > \mu_0\}$. If*

$$\int_{E_X} \frac{F(x)}{(x - \mu_0)^2} dx < \infty$$

where $F(x)$ is the linear measure of the vertical cross section $\sigma(T) \cap \{z: \operatorname{Re} z = x\}$, then T has a non-trivial invariant subspace.

THEOREM 2. *In Theorem 1 the existence of a non-trivial invariant subspace is also guaranteed if the integrability condition is replaced by*

$$\int_{E_X} \frac{1}{|x - \mu_0|} dx < \infty.$$