

## On Normal K3 Surfaces

ICHIRO SHIMADA

## 1. Introduction

In this paper, by a  $K3$  surface we mean, unless otherwise stated, an *algebraic*  $K3$  surface defined over an algebraically closed field.

A  $K3$  surface  $X$  is said to be *supersingular* (in the sense of Shioda [23]) if the rank of the Picard lattice  $S_X$  of  $X$  is 22. Supersingular  $K3$  surfaces exist only when the characteristic of the base field is positive. Artin [3] showed that, if  $X$  is a supersingular  $K3$  surface in characteristic  $p > 0$ , then the discriminant of  $S_X$  can be written as  $-p^{2\sigma_X}$ , where  $\sigma_X$  is an integer with  $0 < \sigma_X \leq 10$ . This integer  $\sigma_X$  is called the *Artin invariant* of  $X$ .

Let  $\Lambda_0$  be an even unimodular  $\mathbb{Z}$ -lattice of rank 22 with signature  $(3, 19)$ . By the structure theorem for unimodular  $\mathbb{Z}$ -lattices (see e.g. [16, Chap. V]), the  $\mathbb{Z}$ -lattice  $\Lambda_0$  is unique up to isomorphisms. If  $X$  is a complex  $K3$  surface, then  $H^2(X, \mathbb{Z})$  regarded as a  $\mathbb{Z}$ -lattice by the cup product is isomorphic to  $\Lambda_0$ . For an *odd* prime integer  $p$  and an integer  $\sigma$  with  $0 < \sigma \leq 10$ , we denote by  $\Lambda_{p,\sigma}$  an even  $\mathbb{Z}$ -lattice of rank 22 with signature  $(1, 21)$  such that the discriminant group  $\text{Hom}(\Lambda_{p,\sigma}, \mathbb{Z})/\Lambda_{p,\sigma}$  is isomorphic to  $(\mathbb{Z}/p\mathbb{Z})^{\oplus 2\sigma}$ . Rudakov and Shafarevich [14, Sec. 1, Thm.] showed that the  $\mathbb{Z}$ -lattice  $\Lambda_{p,\sigma}$  is unique up to isomorphisms. If  $X$  is a supersingular  $K3$  surface in characteristic  $p$  with Artin invariant  $\sigma$ , then  $S_X$  is  $p$ -elementary by [14, Sec. 8, Thm.] and of signature  $(1, 21)$  by the Hodge index theorem; hence  $S_X$  is isomorphic to  $\Lambda_{p,\sigma}$ .

The *primitive closure* of a sublattice  $M$  of a  $\mathbb{Z}$ -lattice  $L$  is  $(M \otimes_{\mathbb{Z}} \mathbb{Q}) \cap L$ , where the intersection is taken in  $L \otimes_{\mathbb{Z}} \mathbb{Q}$ . A sublattice  $M \subset L$  is said to be *primitive* if  $(M \otimes_{\mathbb{Z}} \mathbb{Q}) \cap L = M$  holds. For  $\mathbb{Z}$ -lattices  $L$  and  $L'$ , we consider the following condition.

$\text{Emb}(L, L')$ : There exists a primitive embedding of  $L$  into  $L'$ .

We denote by  $\mathcal{P}$  the set of prime integers. For a nonzero integer  $m$ , we denote by  $\mathcal{D}(m) \subset \mathcal{P}$  the set of prime divisors of  $m$ . We consider the following arithmetic condition on a nonzero integer  $d$ , a prime integer  $p \in \mathcal{P} \setminus \mathcal{D}(2d)$ , and a positive integer  $\sigma \leq 10$ .

$$\text{Arth}(p, \sigma, d): \left( \frac{(-1)^{\sigma+1}d}{p} \right) = -1,$$

where  $\left( \frac{x}{p} \right)$  is the Legendre symbol.