

# $C^\alpha$ -Compactness and the Calabi Flow on Kähler Surfaces with Negative Scalar Curvature

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## 1. Introduction

Let  $M$  be a compact Kähler  $m$ -manifold that has a Kähler metric  $ds^2 = g_{\alpha\bar{\beta}}dz^\alpha \otimes d\bar{z}^\beta$ . Then it is known that, for the Ricci curvature tensor  $R_{\alpha\bar{\beta}} = -(\partial^2/\partial z^\alpha \partial \bar{z}^\beta) \log \det(g_{\lambda\bar{\mu}})$ ,  $\frac{\sqrt{-1}}{2\pi} R_{\alpha\bar{\beta}} dz^\alpha \wedge d\bar{z}^\beta$  is a closed  $(1, 1)$ -form and its cohomology class is equal to the first Chern class  $C_1(M)$ . Conversely, it was Calabi who asked if, for any closed  $(1, 1)$ -form  $\frac{\sqrt{-1}}{2\pi} \tilde{R}_{\alpha\bar{\beta}} dz^\alpha \wedge d\bar{z}^\beta$  that is cohomologous to  $C_1(M)$ , can one find a Kähler metric  $\tilde{g}_{\alpha\bar{\beta}}$  on  $M$  such that  $\tilde{R}_{\alpha\bar{\beta}}$  is the Ricci curvature tensor of  $\tilde{g}_{\alpha\bar{\beta}}$ ? As a consequence of Aubin and Yau's results, one can find a Kähler–Einstein metric on  $M$  with  $C_1(M) = 0$  or  $C_1(M) < 0$ . When  $C_1(M) > 0$ , the space of Kähler–Einstein metrics are invariant under automorphism group. However, the existence does not always hold in general [F; M; T; TY].

Instead of the Kähler–Einstein metric, we consider the notion of extremal metrics due to Calabi [C1]. Namely, fix a Kähler class  $\Omega_0 = [\omega_0]$  on a compact Kähler manifold  $M$  and denote by  $H_{\Omega_0}$  the space of all Kähler metrics with the same fixed Kähler class  $\Omega_0$ . Now consider the functional  $\Phi: H_{\Omega_0} \rightarrow \mathbf{R}$ ,

$$\Phi(g) = \int_M R^2 d\mu_g,$$

where  $R$  denotes the scalar curvature of  $g$ . A critical point of  $\Phi$  is called an *extremal metric*. In particular, any Kähler–Einstein metric is an extremal metric that also minimizes  $\int_M R^2 d\mu_g$  in  $H_{\Omega_0}$ . Furthermore, if  $\Omega_0 = C_1(M) > 0$  and if there exist no nonzero holomorphic vector fields on  $M$ , then an extremal metric is a Kähler–Einstein metric. On the other hand, there exist some obstructions to the existence of extremal metrics due to Calabi [C2], LeBrun [L], Levine [Le], and Burns and deBartolomeis [BB]. However, so far there is no known example of a compact Kähler manifold  $M$  with  $C_1(M) > 0$  and no nonzero holomorphic tangent vector field that does not carry any extremal metric. Concerning the existence of extremal metrics, Calabi has asked whether one can always minimize the  $\Phi$  in  $H_{\Omega_0}$  on  $M$  if there exist no nonzero holomorphic tangent vector fields and if the tangent bundle of  $M$  is stable (see [C1; D; SY; UY]).

Throughout this note, we consider a compact Kähler surface  $M$  (see Remark 2.2) with a fixed Kähler class  $\Omega_0 = [\omega_0]$  for  $\omega_0 = \frac{\sqrt{-1}}{2\pi} g_{\alpha\bar{\beta}} dz^\alpha \wedge d\bar{z}^\beta$ . For any metric

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