HOMOTOPY EQUIVALENCES OF PUNCTURED MANIFOLDS

Darryl McCullough

Let M be a closed (smooth or PL) manifold of dimension $n \ge 2$, and let W be the punctured manifold obtained by removing from M the interior of a (smoothly or PL)-imbedded n-disc D, centered at the basepoint m of M. Not surprisingly, the group $G_1(M)$ of homotopy classes of basepoint-preserving degree 1 self-homotopy-equivalences of M is closely related to the group $G(W, \partial W)$ of homotopy classes (rel ∂W) of self-homotopy-equivalences of W that fix ∂W . The main theorem of this paper makes this precise. We begin by stating the theorem and outlining various applications.

The map $r: W \to W$ is a rotation about the boundary sphere of W, described in Section 1(b). Our main theorem is

THEOREM 3.2. There is a central extension

$$1 \longrightarrow K \longrightarrow G(W, \partial W) \longrightarrow G_1(M) \longrightarrow 1$$

where K is the subgroup of $G(W, \partial W)$ generated by $\langle r \rangle$. For $n \ge 3$, K = 0 or $\mathbb{Z}/2$ according as $\langle r \rangle = \langle 1_W \rangle$ or $\langle r \rangle \ne \langle 1_W \rangle$. For n = 2, K = 0 if M is the 2-sphere or real projective plane, otherwise $K \cong \mathbb{Z}$.

After proving this theorem in Section 3, we apply it to the problem of deforming homotopy equivalences to homeomorphisms, showing that every element of $G_1(M)$ contains PL homeomorphisms if and only if every element of $G(W, \partial W)$ does. In Section 4, we consider the case of M aspherical. In this case, $\langle r \rangle \neq \langle 1_W \rangle$, so $G(W, \partial W)$ is determined, at least up to extension, by $\pi_1(M, m)$. In Section 5, we apply Theorem 3.2 to describe the stabilizers of certain elements in finitely-generated free groups. In the final section, we show that when $M = T^n$, the n-dimensional torus, the exact sequence of Theorem 3.2 is isomorphic to a well-known sequence involving the Steinberg group $\operatorname{St}(n, \mathbb{Z})$. Thus, the extension need not be trivial.

In the first section, we will discuss a few preliminaries, including the fact that $G(W, \partial W)$ is a group. The main lemma, from which Theorem 3.2 follows easily, is proved in Section 2. The proof uses geometric constructions to simplify a homotopy between a self-homotopy-equivalence of M and the identity map of M.

I am grateful to R. Alperin, F. Ancel, and G. A. Swarup for helpful discussions.

1. Preliminaries.

1.a. Mapping spaces of manifolds.

We will always work only with basepoint-preserving maps of M, and use the C-O topology on mapping spaces. If A(N) is a space of mappings from a manifold N to itself, and $X \subset N$, let $A(N, X) = \{ f \in A(N) : f|_X \text{ is the identity map } 1_X \}$. When the

Received January 19, 1982. Revision received May 10, 1982.

Partially supported by National Science Foundation Grant MCS-8101886.

Michigan Math. J. 29 (1982).