Essentially Normal Multiplication Operators on the Dirichlet Space

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1. Introduction

Let *U* be the open unit disk in the complex plane *C*. The Dirichlet space *D* is the Hilbert space of analytic functions $f(z) = \sum_{n=0}^{\infty} a_n z^n$ on *U* such that

$$f(0) = 0$$
 and $||f||_D^2 = \int_U |f'(z)|^2 \frac{dA}{\pi} = \sum_{n=1}^\infty n|a_n|^2 < \infty$,

where dA denotes the usual area measure.

An analytic function φ on U is called a *multiplier* of D if $\varphi D \subset D$. The set of all multipliers of D will be denoted by M(D). Each multiplier generates a bounded multiplication operator M_{φ} on D defined by $M_{\varphi} f = \varphi f$ for $f \in D$.

Multiplication operators on D are almost never normal (they are normal only for constant multipliers). In [AS], Axler and Shields asked whether the self-commutator $M_{\varphi}^*M_{\varphi}-M_{\varphi}M_{\varphi}^*$ is compact for $\varphi\in M(D)$; that is, whether multiplication operators on D are normal in the Calkin algebra. A Hilbert space operator whose self-commutator is compact is called *essentially normal*.

This paper answers negatively the question of Axler and Shields. An example of a multiplication operator that is not essentially normal is given in Section 3. Section 2 contains a description of essentially normal multipliers that is used throughout the rest of the paper.

A few more definitions are in order. The *harmonic* Dirichlet space D_h is the Hilbert space of functions f on the unit circle T for which

$$||f||_{D_h}^2 = |\hat{f}(0)|^2 + \sum_{n=-\infty}^{\infty} |n||\hat{f}(n)|^2 < \infty,$$

where $(\hat{f}(n))$ is the sequence of Fourier coefficients of f. It can be shown that

$$||f||_{D_h}^2 = |\hat{f}(0)|^2 + \int_U |\nabla P[f]|^2 \frac{dA}{\pi}$$

$$= |\hat{f}(0)|^2 + \int_0^{2\pi} \int_0^{2\pi} \left| \frac{f(e^{i\theta}) - f(e^{i\xi})}{e^{i\theta} - e^{i\xi}} \right|^2 \frac{d\theta}{2\pi} \frac{d\xi}{2\pi},$$

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