

On the Quasidiagonality of Direct Sums of Normal Operators and Shifts

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1. Introduction

1.0. Let \mathcal{H} be a complex, infinite-dimensional, separable Hilbert space and let $\mathcal{B}(\mathcal{H})$ be the set of bounded linear operators acting on \mathcal{H} . By $\mathcal{K}(\mathcal{H})$ we denote the compact operators, and $\mathcal{Q}(\mathcal{H}) = \mathcal{B}(\mathcal{H})/\mathcal{K}(\mathcal{H})$ is the Calkin algebra. The canonical map from $\mathcal{B}(\mathcal{H})$ to $\mathcal{Q}(\mathcal{H})$ is denoted π , and for $T \in \mathcal{B}(\mathcal{H})$ the spectrum of T is written $\sigma(T)$; $\sigma_e(T) = \sigma(\pi(T))$ will denote the essential spectrum of T .

An operator $T \in \mathcal{B}(\mathcal{H})$ is said to belong to the class (QD) of *quasidiagonal* operators if there exists a sequence $\{P_n\}_{n=1}^\infty$ of finite-dimensional projections converging strongly to the identity operator I for which $\{\|TP_n - P_nT\|\}_{n=1}^\infty$ converges to 0. If $TP_n = P_nT$ for all $n \geq 1$, then T is said to be *block-diagonal* and we write $T \in (BD)$. It is well known that (QD) is closed, invariant under compact perturbations, and given $\epsilon > 0$ and $T \in (QD)$, there exist $B \in (BD)$ and $K \in \mathcal{K}(\mathcal{H})$, $\|K\| < \epsilon$, such that $T = B + K$.

An operator T is called *quasitriangular* ($T \in (QT)$) if there exists a sequence $\{P_n\}_{n=1}^\infty$ as above for which $\lim_{n \rightarrow \infty} \|(I - P_n)TP_n\| = 0$, and T is *bi-quasitriangular* ($T \in (BQT)$) if both T and T^* are quasitriangular. Both (QT) and (BQT) have been studied extensively (cf. [12] and its references) and much is known about these classes. It is not hard to see that $(QD) \subseteq (BQT)$ and $T \in (QD)$ if and only if there is a sequence $\{P_n\}_{n=1}^\infty$ as above which simultaneously implements the quasitriangularity of T and T^* .

1.1. Recall that $W \in \mathcal{B}(\mathcal{H})$ is called a *bilateral* (resp. *unilateral*) weighted shift if there exists an orthonormal basis $\{e_n\}_{n \in \mathbb{Z}}$ (resp. $\{e_n\}_{n \geq 1}$) of \mathcal{H} and a bounded sequence of scalars $\{\omega_n\}_{n \in \mathbb{Z}}$ (resp. $\{\omega_n\}_{n \geq 1}$) such that $We_n = \omega_n e_{n+1}$ for all n . Up to unitary equivalence (under which quasidiagonality is invariant), we may and do assume that all weights are positive. The bilateral (resp. unilateral) shift with all weights equal to 1 is denoted by B (resp. S).

If W is a bilateral weighted shift, then we say that W is *block-balanced* if, given $\epsilon > 0$ and $n > 0$ an integer, there exist integers p and q such that $p + n < 0 < q$ and

$$\|(\omega_p, \omega_{p+1}, \omega_{p+2}, \dots, \omega_{p+n}) - (\omega_q, \omega_{q+1}, \omega_{q+2}, \dots, \omega_{q+n})\|_\infty < \epsilon.$$