

HOLOMORPHIC FUNCTIONS ON THE POLYDISC HAVING POSITIVE REAL PART

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Let D^N denote the open unit polydisc in C^N and let

$$\mathcal{P}_N = \{f \mid f \text{ is holomorphic on } D^N, \operatorname{Re} f > 0, \text{ and } f(\theta) = f(0, 0, \dots, 0) = 1\}.$$

Of course \mathcal{P}_N is compact in the topology of uniform convergence on compacta. Thus, it follows from the Krein–Milman theorem that \mathcal{P}_N is the closed convex hull of its extreme elements. In the case $N=1$ the extreme elements of \mathcal{P}_N are easily found via Herglotz’s theorem. For $N > 1$, however, a complete description of the extreme elements of \mathcal{P}_N is not known, although Forelli has found a necessary condition for a member of \mathcal{P}_N to be extreme. (See [1].) Forelli [1] and McDonald [3; 4] have also constructed several examples of extreme elements of \mathcal{P}_2 .

In this paper, we study certain faces of the convex set \mathcal{P}_N . We recall that a face F of a convex set S is a convex subset which satisfies: $(c, x, y) \in (0, 1) \times S \times S$ and $cx + (1 - c)y \in F$ together imply $x, y \in F$. For our purposes, it is important to note that an extreme point of the face F is also an extreme point of S . For each $x \in S$ there is a smallest face $\mathfrak{F}(x)$ containing x . $\mathfrak{F}(x)$ is simply the union of all line segments from S which contain x as a relative interior point. If S is a compact convex subset of some locally convex vector space, then the closed faces will always contain extreme elements. Faces of the form $\mathfrak{F}(x)$ are, however, not closed in general, but, if it can be shown that $\mathfrak{F}(x)$ is finite-dimensional, then $\mathfrak{F}(x)$ will necessarily be closed. Furthermore, if it is known that $\mathfrak{F}(x)$ is finite-dimensional, then it follows from a theorem of Carathéodory that x can be written as a finite convex combination of extreme elements of S . (See, e.g., [5].)

Our main result is that $\mathfrak{F}(G)$ is a finite-dimensional face of \mathcal{P}_N when G is of the form $G = (1 + g)/(1 - g)$, where g is a rational inner function satisfying $g(\theta) = 0$. We also show that each member of $\mathfrak{F}(G)$ is the Cayley transform of a rational inner function and that the set of extreme elements of sets of the form $\mathfrak{F}(G)$ is dense in the set of extreme elements of \mathcal{P}_N . Finally, we study some particular examples of faces of the form $\mathfrak{F}(G)$.

1. The main result. In this section g will denote a rational inner function on D^N which satisfies $g(\theta) = 0$. It is known that g must have the form

$$(1) \quad g = MQ^*/Q,$$

where Q is a polynomial having no zero on D^N , where

$$Q^*(z) = Q^*(z_1, \dots, z_N) = \overline{Q(1/\bar{z}_1, \dots, 1/\bar{z}_N)},$$

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