

ON PREDICATE LETTER FORMULAS  
WHICH HAVE NO SUBSTITUTION INSTANCES  
PROVABLE IN A FIRST ORDER LANGUAGE.

KENNETH WESTON

We shall investigate the following question in this discussion. Does there exist an algorithm  $A$  which operates on a recursively enumerable formal system  $S$  couched in the first order predicate calculus  $P$  (say the formulas of  $S$  are constructed from logical symbols of  $P$  with predicate and individual symbols from given finite or infinite lists) such that if  $S$  is simple consistent, then  $A(S)$  is a satisfiable predicate letter formula which has no substitution instance provable in  $S$ ? A partial solution is given in the theorem below. The notation used is from [1].

*Theorem 1 (Kleene): For every recursively enumerable and simple consistent formal system  $S$ , couched in the first order predicate calculus, there is a satisfiable formula  $F$  of  $P$  where  $F$  has no substitution instance provable in  $S$  and  $F$  can be effectively found, given  $S$ .*

The following proof is due to S. C. Kleene in [2]. We shall repeat the argument here, since [2] is not readily available.

Because  $S$  is recursively enumerable, we can enumerate recursively all the provable formulas of  $S$ . From each provable formula of  $S$  we can recover the finitely many formulas of  $P$  of which it is a substitution instance. Thus we can recursively enumerate the formulas of  $P$  which have substitution instances provable in  $S$ . Suppose the formulas of  $P$  in this enumeration are:  $F_0, F_1, F_2, \dots$ . Then

1)  $F_i$  is satisfiable ( $i=0, 1, 2, \dots$ ),

for if  $F_i$  were not satisfiable, then  $\neg F_i$  would be valid and hence provable in  $P$  by Gödel's completeness theorem. So if  $F_i^*$  is any one of the substitution instances of  $F_i$ , which is provable in  $S$ , we would have  $\neg F_i^*$  also provable and thus  $S$  is not simple consistent.

Consider the predicate  $T_1(x, x, y)$  in [1, p.281] and the formulas  $K_x$  in [1, p. 434, Remark 2] for  $R(x, y) \equiv T_1(x, x, y)$ .

2)  $(y)\overline{T_1}(x, x, y) \equiv (\overline{E}y)T_1(x, x, y) \equiv [K_x \text{ is unprovable in } P]$   
 $\equiv [K_x \text{ is not valid}] \equiv [\neg K_x \text{ is satisfiable}]$