

## Sequential Compactness and the Axiom of Choice

NORBERT BRUNNER

A theorem is effective iff it is proved in  $ZF^o$ , where  $ZF^o$  is Zermelo-Fraenkel set theory without the axioms of choice and foundation (regularity). A well known effective theorem of F. Riesz states that a Hilbert space is finite dimensional iff its closed unit ball is compact. This may fail for sequential compactness. If  $U$  is a set of urelements, equipped with the structure of  $l_2$ ,  $I$  is the ideal of all finite subsets of  $U$  and  $G$  is the group of unitary operators, by an argument similar to [7]. In the resulting permutation model  $P(U, G, I)$ , each orthonormal (= ON) system in  $U$  is finite. Therefore  $U$  is locally sequentially compact, but there is no ON base for  $U$ . A similar situation holds for the Dworetzky-Rogers characterisation of finite dimensional spaces ([9], Theorem 1.c.2). But in combination we get the effective result:

**1 Theorem**     *In  $ZF^o$  the following statements are equivalent for a Hilbert space  $H$ :*

- (a) *The closed unit ball is sequentially compact.*
- (b) *Each unconditionally convergent series converges absolutely.*
- (c) *Each ON-system is Dedekind-finite.*

*Proof:* In  $ZF^o$  a first countable Hausdorff space  $X$  is sequentially compact, iff each closed, discrete set is  $D$ -finite. Though only the obvious part of this remark is used, a proof of its nontrivial implication can be given as follows:

Suppose  $X$  is not sequentially compact and  $x: \underline{w} \rightarrow X$  is a sequence without any convergent subsequence. We shall show that  $Im(x)$  is closed and discrete but not  $D$ -finite (Dedekind-finite). Because  $X$  is first countable, for  $p \in Im(x)^-$ , the closure of  $Im(x)$ , there is a neighborhood system  $(U_k)_{k \in \underline{w}}$  and we set  $n(0) = 0$ ,  $n(k+1) = \min N_k$  if  $N_k = \{n > n(k): x_n \in U_k\} \neq \emptyset$ . There is a  $k$  such that  $N_k = \emptyset$ . If not, the subsequence  $(x(n(k)))_{k \in \underline{w}}$  of  $x$  converges to  $p$ . Hence