CONTROLLING FORMAL FIBERS OF PRINCIPAL PRIME IDEALS

A. DUNDON, D. JENSEN, S. LOEPP, J. PROVINE AND J. RODU

ABSTRACT. Let (T,\mathfrak{m}) be a complete local (Noetherian) ring, S_0 the prime subring of T and $p \neq 0$ a regular and prime element of T. Given a finite set of incomparable prime ideals $C = \{Q_1, \dots, Q_n\}$ of T such that either $Q_i \cap S_0 = (0)$ for all i or $Q_i \cap S_0 = pS_0$ for all i, we provide necessary and sufficient conditions for T to be the completion of a local domain A such that $p \in A$ and the formal fiber of pA is semi-local with maximal ideals the elements of C. We also show that in a special case the domain A we construct is excellent.

- 1. Introduction. Much research has been devoted to understanding the relationship between a local (Noetherian) ring and its completion. In these efforts, an important question to address has been the following: given a complete local ring T with maximal ideal \mathfrak{m} , when is it the completion of a ring A with certain properties? One major result of this type comes from Lech. Specifically, in [5], Lech shows that a complete local (Noetherian) ring T is the completion of a local (Noetherian) domain if and only if the following conditions hold.
 - (1) The prime ring of T is a domain that acts on T without torsion;
- (2) Unless equal to (0), the maximal ideal of T does not belong to (0) as an associated prime ideal.

To understand the relationship between a local ring and its completion it is often useful to consider the formal fibers of the ring. If A is a local (Noetherian) ring with maximal ideal \mathfrak{m} and P a prime ideal of A, we define the formal fiber of A at P to be $\operatorname{Spec}(\widehat{A} \otimes_A k(P))$ where \widehat{A} is the \mathfrak{m} -adic completion of A and $k(P) = A_P/PA_P$. It is important to note that there is a one-to-one correspondence between the formal fiber of A at P and the inverse image of P under the map $\operatorname{Spec}\widehat{A} \to \operatorname{Spec} A$. If A is an integral domain, we call the formal fiber

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