REES RINGS AND DERIVATIONS

SILVIA MOLINELLI AND GRAZIA TAMONE

ABSTRACT. Let A be a ring, $\{I_n\}$ a filtration of ideals of A and $R=\oplus I_nT^n$ (contained in A[T]) the Rees ring associated with $\{I_n\}$. We study the derivations D of A[T] such that $D(A)\subset A$ and $D(R)\subset R$.

Introduction. Let A be a noetherian ring and $\{I_n\}_{n\in\mathbb{Z}}$ a filtration of ideals of A. Let $R=\oplus_{n\geq 0}I_nT^n$ (respectively $R'=\oplus_{n\in\mathbb{Z}}I_nT^n$) be the Rees ring associated with $\{I_n\}$ for $n\geq 0$ (respectively for $n\in\mathbb{Z}$). One can remark that $R\subset A[T]$ and when $F=\{I^n\}$ (where I is an ideal of A) then R is the well-known "Rees algebra."

In this paper we first consider derivations D of the polynomial ring A[T] such that $D(A) \subset A$, and we determine several conditions on D(T) and $D(I_n)$ in order that $D(R) \subset R$ and $D(R') \subset R'$. In particular, we discuss five filtrations, namely $\{I^n\}$, $\{I^{(n)}\}$, $\{I^n:\langle J\rangle\}$, $\{(I^n)_{\Delta}\}$, $\{(I^n)_a\}$ (see definitions 1.4, 1.6, 1.8, 1.9).

In Section 2 we consider the Rees rings associated to the previous five filtrations. If $D \in \text{Der}(A[T])$ is a derivation of one of these rings, we wonder on which of the others D is also a derivation. We give several implications and show some examples of implications which do not hold.

Further, if A is a noetherian domain containing a field of characteristic zero, for any filtration $\{I_n\}$ in A we show that each $D \in \text{Der}(A[T])$ such that $D(R) \subset R$ is also a derivation of the Rees rings associated respectively to $\{\bar{I}_n\}$ and $\{(I_n)_a\}$ (where \bar{I}_n (respectively, $(I_n)_a$) is the integral closure of I_n in \bar{A} (respectively in A), see definition 1.9).

We recall that several properties of R have been studied in some cases. For example, when $F = \{I^{(n)}\}$ (I prime, i.e., R is the "symbolic Rees algebra"), many authors have studied when R is Noetherian, Gorenstein, Cohen-Macaulay (see [1, 2, 3, 4]). Further, in [12] there are some finiteness results related to certain filtrations.

Received by the editors on October 17, 1992, and in revised form on August 16, 1993.