

ON TRANSFORMATIONS AND ZEROS OF POLYNOMIALS

A. ISERLES, S.P. NØRSETT AND E.B. SAFF

ABSTRACT. We survey certain transformations of the set $\pi_n[x]$ of n -th degree polynomials into themselves. These transformations share the property that polynomials with all their zeros in a certain real interval are mapped to polynomials with all their zeros in another real interval. Rich sources of such “zero-mapping” transformations can be found in the Laguerre-Pólya-Schur theory of multiplier sequences. Others follow from the theory of biorthogonal polynomials, by identifying them with a mapping from the parameter space. This identification leads to two general techniques for the generation of such transformations. As a consequence, we prove a result on the zeros of certain convolution orthogonal polynomials introduced by Al-Salam and Ismail.

1. Introduction. Let \mathcal{T} be a transformation of the set of the n -th degree polynomials, forthwith denoted by $\pi_n[x]$, into itself. In general, even if it is known that all the zeros of u reside in a certain real interval, little can be said about the zeros' location of $\mathcal{T}u$. However, there exist many transformations that exhibit regularity in their “mapping” of zeros. Possibly the simplest nontrivial example is $\mathcal{T}u = u'$, which retains the property that all the zeros of u reside in a real interval (for a complex-plane version of this statement, the Gauss-Lucas theorem, cf. [16]). The themes of this paper are three general techniques to produce transformations that display interesting “zero-mapping” properties. The first is classical and its major elements can be traced back to the work of Laguerre, a century ago. Nonetheless, it deserves being better

AMS (MOS) *Mathematics Subject Classification.* Primary 26C10, 33A35; Secondary 33A65, 42C05.

Key words and phrases. Biorthogonal polynomials, convolution-orthogonal polynomials, expansions, generating functions, multiplier sequences, orthogonal polynomials, Pólya frequency functions, Pólya-Laguerre class, strict sign consistency.

The research of E.B. Saff was supported, in part, by the National Science Foundation under grant DMS-862-0098.

Received by the editors on November 4, 1988, and in revised form on May 14, 1989.

Copyright ©1991 Rocky Mountain Mathematics Consortium