

**RELATING DIFFERENT CONDITIONS FOR  
THE POSITIVITY OF THE SCHRÖDINGER OPERATOR**

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**ABSTRACT.** The following article directs proofs that sufficient conditions for the positivity of the Schrödinger operator due to C. Fefferman and Chang, Wilson, and Wolff imply a necessary and sufficient condition of Kerman-Sawyer. The method is by reduction to dyadic case, Calderon-Zygmund decomposition, and, in one case, the use of Orlicz norms.

This article presents some direct proofs between several different conditions which imply the positivity of the Schrödinger operator,  $-\Delta - (1/c)v$ , where  $v \geq 0$ . If  $L = -\Delta - (1/c)v$  is essentially self-adjoint, then  $L$  being a positive operator is equivalent to the following inequality:

$$(*) \quad \int_{\mathbf{R}^d} u^2(x)v(x) dx \leq c \int_{\mathbf{R}^d} |\nabla u(x)|^2 dx \quad \forall u \in C_0^\infty,$$

as can be seen by an integration by parts:

$$\begin{aligned} \langle (-\Delta - \frac{1}{c}v)u, u \rangle &= \int (-\Delta u)u - \frac{1}{c} \int u^2v \\ &= \int |\nabla u|^2 - \frac{1}{c} \int u^2v \\ &\geq 0 \Leftrightarrow (*) \text{ holds.} \end{aligned}$$

In his paper, "The Uncertainty Principle," [5], C. Fefferman raises the question: What conditions on  $v$  imply (\*)? In [5] the following condition (a) is shown to be sufficient for (\*):

- (a) There exists  $p > 1$  for all cubes  $Q$

$$\left( \frac{1}{|Q|} \int_Q v^p \right)^{1/p} \leq \frac{c}{l^2(Q)}$$

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