## THE INFINITESIMAL ABEL-JACOBI MAPPING AND MOVING THE O(2) + O(-4) CURVE

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**0.** Introduction. If C is a smooth rational curve embedded in a smooth projective surface S, then there are only two possibilities:

- (1)  $C^2 < 0$ , in which case the conormal bundle  $\mathscr{I}_C/\mathscr{I}_C^2$  is positive, so that  $H^1(\mathscr{I}_C^n/\mathscr{I}_C^{n+1}) = 0$  and global sections of  $\mathscr{I}_C$  on a formal neighborhood of C give a contraction  $S \to S_0$  which maps C to a point and takes (S-C) isomorphically onto the rest of  $S_0$ . ([2] is a general reference for formal contraction criteria.)
- (2)  $C^2 \ge 0$ , in which case  $h^0(N_{C/S}) > 0$  and  $h^1(N_{C/S}) = 0$ . So C must move in S.

Suppose now that a smooth rational curve C is embedded in a smooth threefold V. One can ask the analogous question, is it always true either that C contracts or that C, or perhaps some multiple of C, moves? The purpose of this paper is to answer that question in the negative.

Our method will be to choose a situation in which the normal bundle  $N_{C/V}$  is incompatible with the existence of a contraction, namely, a situation in which  $K_V$ is trivial but  $H^1(N_{C/V}^*) \neq 0$ . (See [4, Lecture 16].) We find a family of such V and show that the monodromy representation on  $H^3(V)$  is irreducible for this family, so that the Abel-Jacobi mapping for deformations of mC in V must be trivial for generic V. On the other hand, we specialize V to a (singular)  $V_0$  for which the derivative of the (generalized) Abel-Jacobi map is nonzero, thereby obtaining a contradiction of the assumption that deformations of mC exist for generic V.

Now  $N_{C/V}^* = \mathcal{O}(a) + \mathcal{O}(b)$ , a + b = 2, so there are only three cases in which  $H^1(N_{C/V}^*) = 0$ . Work of H. Laufer and M. Reid shows that C either contracts or moves in two of these cases. Only the case a = -1, b = 3, remains to be settled.

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1. The infinitesimal Abel-Jacobi mapping. We begin by discussing a relation between the Hodge theory of a complex projective variety V and families of subvarieties of V.

An algebraic family F of subvarieties of V is given by a subvariety  $E \subseteq F \times V$ such that restriction of the first projection,  $p: E \to F$ , is a proper morphism to an

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