CLASS NUMBER FORMULAS FOR QUADRATIC FORMS OVER GF[q, x]

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1. Introduction. Let $q = p^{z}$, p an odd prime, and let GF[q, x] denote the set of polynomials in the indeterminate x with coefficient in the finite field GF(q). In a recent paper [2], Byers has proved several class-number relations for "definite" binary quadratic forms with coefficients in GF[q, x]. The formulas are obtained by setting up a correspondence between classes of quadratic forms and classes of bilinear forms; the method is analogous to that used by Kronecker [6]. Let $h(\Delta)$ denote the number of classes of quadratic forms of discriminant Δ , where $\Delta \in GF[q, x]$. Then in particular for deg $\Delta = 2m + 1$, Byers has proved the formula [1, Theorem 13]

(1.1)
$$\sum_{\deg R \leq m} h(R^2 - \Delta) = \sum_{\substack{J \mid \Delta \\ \deg J > m}} |J| \qquad (|J| = q^{\deg J}),$$

where the summation on the left is over all $R \in GF[q, x]$ of degree $\leq m$, including R = 0, while the summation on the right is restricted to *primary* divisors of Δ that are of degree > m. The case deg Δ even is somewhat more complicated and is covered by two additional formulas [2, Theorems 14, 15] which employ a modified class number $h'(\Delta)$, which reduces to $h(\Delta)$ when Δ is of odd degree.

In the present paper we prove these class number formulas by a different method suggested by the "singular series" for sums of squares in GF[q, x]. Indeed by this method we are able to evaluate such sums as

(1.2)
$$\sum_{U_1,\dots,U_s} h'(\alpha_1 U_1^2 + \dots + \alpha_s U_s^2 - \Delta),$$

where $\alpha_1, \dots, \alpha_s$ are fixed non-zero numbers of GF(q), the summation is over all $U_i \in GF[q, x]$ of degree < m or $\leq m$, and Δ is of degree 2m + 1 or 2m. For s = 1, (1.2) reduces to the left member of (1.1). In general the results (Theorem 5 below) are simpler for odd s; in this case the sum is expressed in terms of divisor functions, while for even s the sum is expressed in terms of the Artin numbers defined in (4.8) below. The sum (1.2) with $h'(\Delta)$ replaced by $h(\Delta)$ is also evaluated, but the result (Theorem 6) is more complicated.

In the next place (§6) we show how to evaluate the sum

(1.3)
$$\sum_{U} h(U)h(\Delta - U),$$

where, say, deg $\Delta = 2m + 1$ and the summation is over a certain set of q^{2m+1} polynomials of degree 2m + 1. The sum is evaluated in terms of divisor functions. Incidentally, the method used to evaluate (1.2) and (1.3) also enables

Received August 31, 1955.