

## ON THE (GENERALIZED) KORTEWEG-DE VRIES EQUATION

CARLOS E. KENIG, GUSTAVO PONCE, AND LUIS VEGA

**§1. Introduction.** In this paper we are concerned with the initial value problem (IVP) for the Korteweg-de Vries equation

$$(1.1) \quad \begin{cases} \partial_t u + \partial_x^3 u + u \partial_x u = 0 & x, t \in \mathbb{R} \\ u(x, 0) = u_0(x) \end{cases}$$

and its generalized form

$$(1.2) \quad \begin{cases} \partial_t u + \partial_x^3 u + a(u) \partial_x u = 0 & x, t \in \mathbb{R} \\ u(x, 0) = u_0(x) \end{cases}$$

where  $a$  is a function on  $\mathbb{R}$  to  $\mathbb{R}$  with  $a(0) = 0$  and regularity to be specified later.

We shall study the well-posedness of these problems in the classical Sobolev spaces  $H^s(\mathbb{R})$ , and the regularity of their solutions in the spaces  $L^p_s = (1 - \Delta)^{-s/2} L^p(\mathbb{R})$ . In well-posedness we include existence, uniqueness, persistence property (i.e., the solution  $u(t)$  at the time  $t \in [-T, T]$  belongs to the same function space  $X$  as does the initial data  $u_0$ , and describes a continuous curve in  $X$ ), and the continuity of the map  $u_0 \rightarrow u(t)$  from  $X$  to  $C([-T, T]; X)$ . If  $T = T(\|u_0\|_X) < \infty$  we call it local well-posed in  $X$ . In the case when  $T$  can be taken arbitrarily large the problem is globally well-posed in  $X$ .

One of our main results below is the proof of a global (in space) smoothing effect for solutions of these equations. To explain it we consider first the associated linear problem (i.e.,  $a(\cdot) \equiv 0$  in (1.2)) with  $u_0 \in L^2(\mathbb{R})$ . In this case the solutions  $u(t)$  is given by the unitary group  $\{W(t)\}_{-\infty}^{\infty}$ . Thus,  $u(t) = e^{it\partial_x^3} u_0 = W(t)u_0 \in C(\mathbb{R}; L^2(\mathbb{R}))$ . From the results in [12] [13] [19] one has the following R. S. Strichartz type of result [17]:

$$(1.3) \quad \left( \int_{-\infty}^{\infty} \|W(t)u_0\|_p^q dt \right)^{1/q} \leq c \|u_0\|_2$$

with  $2/q = 1/3 - 2/3p$  and  $p \in [2, \infty]$ .

On the other hand, in [8] T. Kato has shown that solutions of the IVP (1.2) possess a local smoothing effect. In the linear case and when  $u_0 \in L^2(\mathbb{R})$  this can be

Received March 29, 1989.