

STABLE AND LABILE BASE CHANGE FOR $U(2)$

YUVAL Z. FLICKER

Let E/F be a quadratic extension of local or global fields of characteristic 0, and A_E, A the rings of adèles of E, F in the latter case. Denote by a bar or $\bar{\sigma}$ the nontrivial element of the galois group $\text{Gal}(E/F)$, and let G be the quasi-split form of $GL(2)$ defined by the twisted galois action of $\text{Gal}(\bar{F}/F)$ (\bar{F} is an algebraic closure of F) given by $\tau(g) = \bar{\tau}(g)$ if the restriction of $\bar{\tau}$ (in $\text{Gal}(\bar{F}/F)$) to E is trivial, and $\tau(g) = w {}^t \bar{\tau}(g)^{-1} w^{-1}$ if $\bar{\tau}$ restricts to $\bar{\sigma}$ on E . Here $w = \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}$, ${}^t g$ denotes the transpose of g , and $\bar{\tau}$ acts by mapping the matrix $g = (g_{ij})$ ($1 \leq i, j \leq 2$) in $GL(2, \bar{F})$ (g_{ij} in \bar{F}) to the matrix $\bar{\tau}(g) = (\bar{\tau}(g_{ij}))$. Then $G(E) = GL(2, E)$ and $G(F)$ is the subgroup of σ -invariant g in $G(E)$, where $\sigma(g) = w {}^t \bar{g}^{-1} w^{-1}$.

If “upstairs” and “downstairs” refer to objects defined over E and F , the purpose of this work is to lift (L -packets $\{\pi\}$ of) admissible (locally) and automorphic (globally) representations π downstairs, to such representations π^E upstairs. The image consists of σ -invariant π^E , those with ${}^\sigma \pi^E \simeq \pi^E$ where ${}^\sigma \pi^E(g) = \pi^E(\sigma(g))$. Only one-half of the σ -invariant π^E are obtained by this lifting, in contrast with the base change theory of $GL(n)$ [1,4], where all $\bar{\sigma}$ -invariant π^E are obtained. More precisely, *there are two distinct liftings λ and λ_1 which inject the set of one-dimensional or discrete series L -packets $\{\pi\}$ downstairs into the set of π^E ; the images of λ and λ_1 are disjoint and their union exhausts the set of σ -invariant representations π^E upstairs which are one-dimensional, discrete series or for which ${}^\sigma \pi^E$ is equivalent, but not equal, to π^E (globally and locally).* The central character of a σ -invariant irreducible one-dimensional or discrete series local (or global) representation π^E is trivial on F^\times (or the group A^\times of idèles of F), not only on NE^\times (or $E^\times NA_E^\times$).

To explain these results by means of the Langlands functoriality principle denote by G' the group $\text{Res}_{E/F} G$ obtained from G by restricting scalars from E to F (thus $G'(F) \simeq G(E)$), and recall that the L -groups of G and G' are

$${}^L G = GL(2, \mathbb{C}) \rtimes W_{E/F}, \quad {}^L G' = (GL(2, \mathbb{C}) \times GL(2, \mathbb{C})) \rtimes W_{E/F};$$

the Weil group $W_{E/F}$ (of $(z, \tau), z$ in E^\times or the idèle class group $E^\times \backslash A_E^\times, \tau$ in $\text{Gal}(E/F)$) acts through $\text{Gal}(E/F)$ by

$$\sigma(g) = w {}^t g^{-1} w^{-1}, \quad \sigma((g, g')) = (\sigma(g'), \sigma(g)) \quad (g, g' \text{ in } GL(2, \mathbb{C})).$$

Received January 27, 1982.