

**A GENERALIZATION OF THE AUMANN-CARATHÉODORY  
"STARRHEITSSATZ"**

BY MAURICE H. HEINS

1. **Introduction.** The "Starrheitssatz" of Aumann and Carathéodory [2]<sup>1</sup> may be stated as follows:

Let  $G_w$  be a multiply-connected region of the  $w$ -plane, the boundary of which contains at least three points, and let  $W = W(w)$  be analytic and single-valued for  $w \in G_w$ . Further let  $W = W(w)$  satisfy the requirements:

- (i) there exists a  $\zeta \in G_w$  such that  $W(\zeta) = \zeta$ ,
- (ii)  $w \in G_w$  implies  $W(w) \in G_w$ .

Then there exists a positive constant  $\Omega(\zeta, G_w)$  less than unity such that, if  $W = W(w)$  is not a (1, 1) map of  $G_w$  onto itself, then  $|W'(\zeta)| \leq \Omega(\zeta, G_w)$ .

If we denote by  $C_1$  the class of (1, 1) conformal maps of  $G_w$  onto itself, and by  $C_2$  the class of all other single-valued maps which are analytic for  $w \in G_w$  and have their images in  $G_w$ , then the "Starrheitssatz" asserts that there exists no sequence of maps  $\{W_n(w)\}$  of  $C_2$  with  $W_n(\zeta) = \zeta$  ( $n = 1, 2, \dots$ ) which converges continuously to a map of class  $C_1$  for  $w \in G_w$ . Conversely, if we can establish that no map of  $C_1$  can be expressed as the limit of a sequence of maps of class  $C_2$ , then the "Starrheitssatz" follows immediately. This results from the fact that, if  $W = W_0(w)$  is a map of either class  $C_1$  or  $C_2$  with the properties

- (i)  $W_0(\zeta) = \zeta$ ,
- (ii)  $|W_0'(\zeta)| = 1$ ,

then  $W_0(w)$  is necessarily a member of class  $C_1$ .

The "Starrheitssatz" is restrictive in its hypotheses. It requires that  $\zeta \in G_w$  be a fixed point of the maps considered. It is therefore natural to seek a generalization of the "Starrheitssatz" which does not make such stringent requirements on the class of maps considered. The alleged proposition that no map of  $C_1$  can be expressed as the limit of a sequence of maps of  $C_2$  offers such a generalization. In this paper we shall establish a proposition of this type.

We need not restrict our attention to plane regions  $G_w$ . Instead we may very well consider abstract Riemann surfaces<sup>2</sup>  $F_w$  and single-valued conformal maps  $W = W(w)$  of  $F_w$  into itself. We shall require that  $F_w$  be not simply-connected, that  $\tilde{F}_w$ , the *universal covering surface* of  $F_w$ , be of hyperbolic type. Let  $w = w(z)$  denote any conformal uniformizing mapping which defines  $|z| < 1$  as a smooth, unbounded covering surface of  $F_w$ . The map  $w = w(z)$  is automorphic under a group  $\mathcal{G}$  of linear fractional transformations  $T$  which map  $|z| < 1$  onto

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<sup>1</sup> Numbers in brackets refer to the bibliography at the end of the paper.

<sup>2</sup> See [6]. We adopt the notation and definitions of this text.